

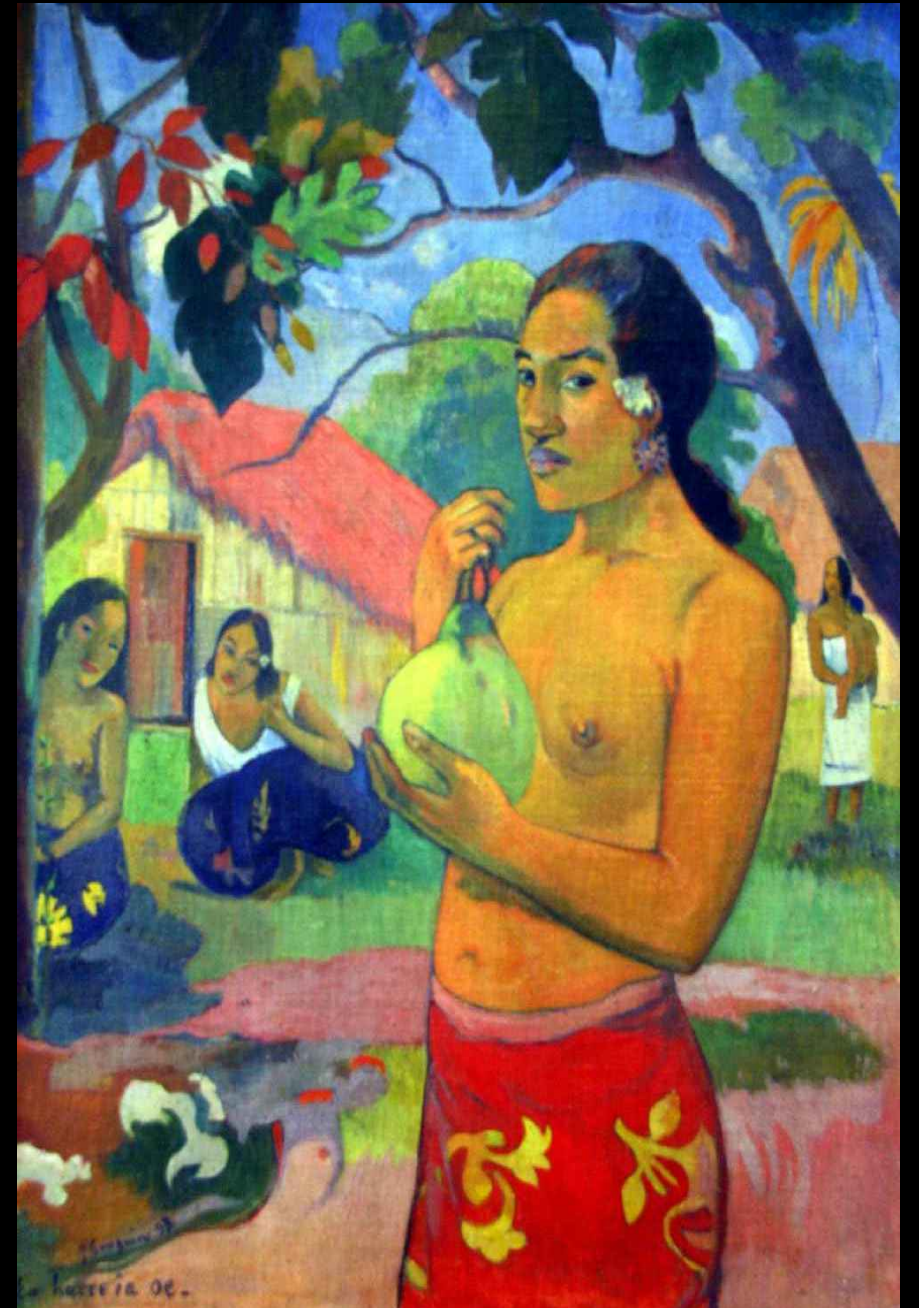
# *ab initio* colors

Stefano Baroni

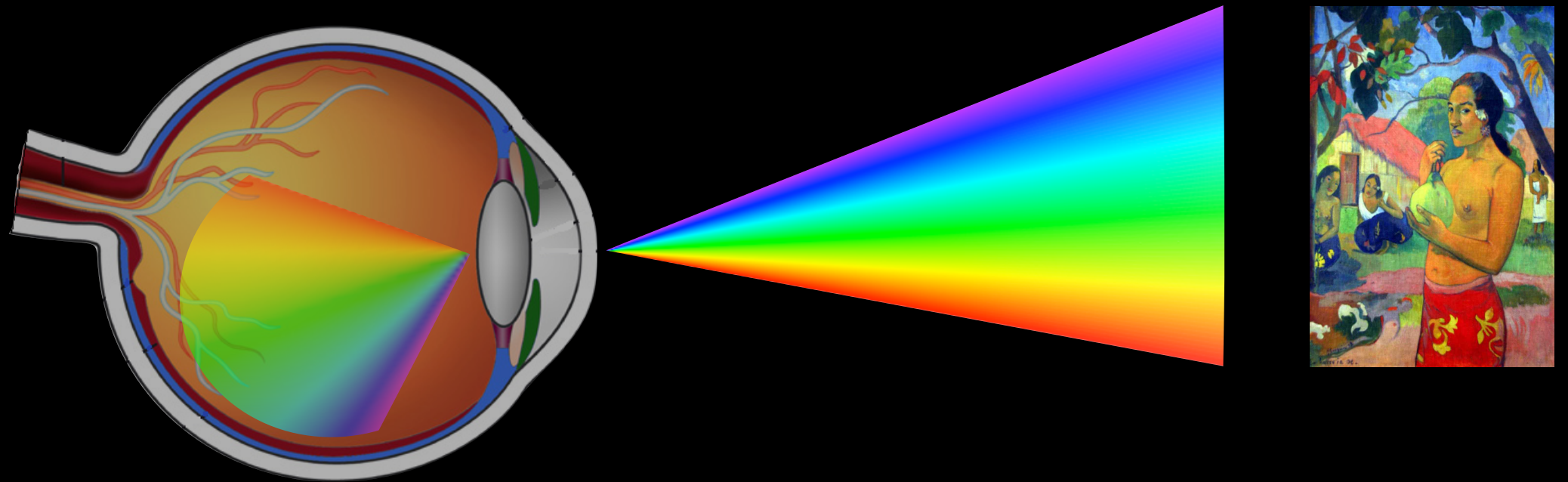
*SISSA — Scuola Internazionale Superiore di Studi Avanzati*  
*Trieste — Italy*

talk given at *ES12: The 24th Annual Workshop on Recent Developments in Electronic Structure Theory*  
Wake Forest University, Winston-Salem NC, June 5-8 2012

what color is all about

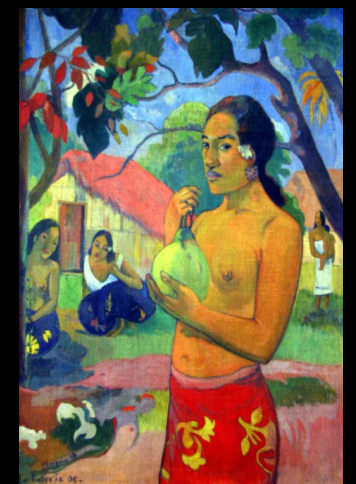
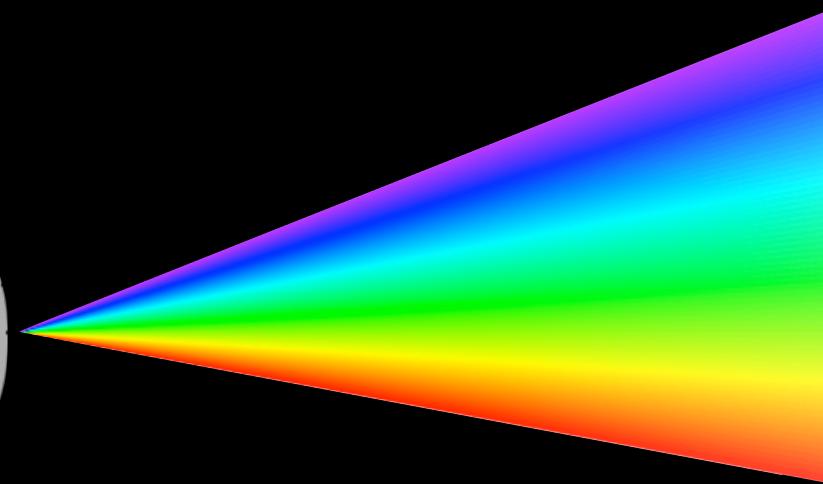
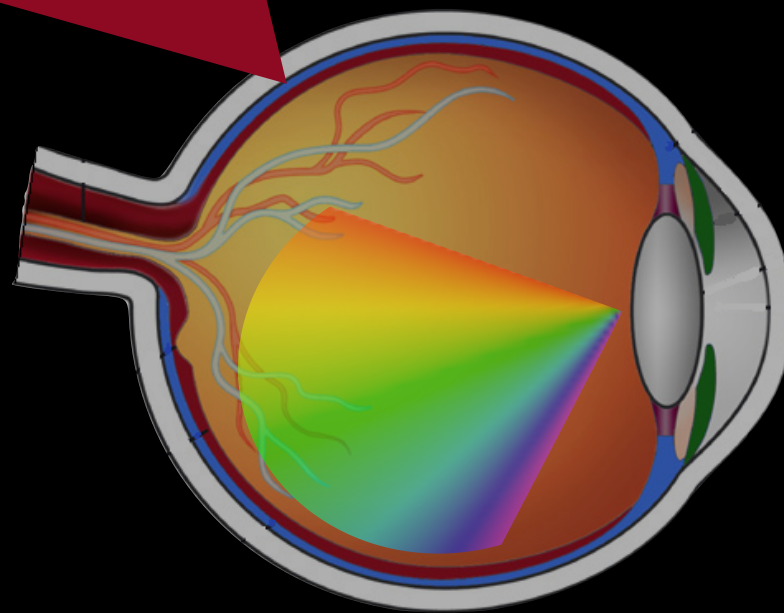
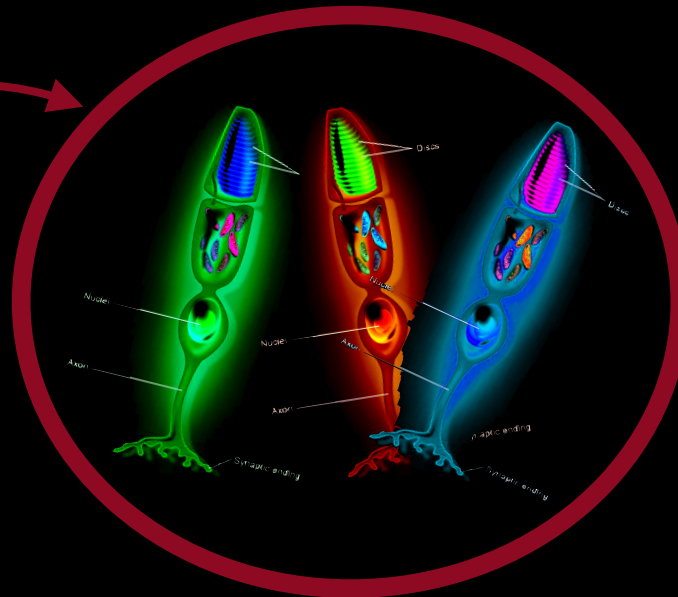
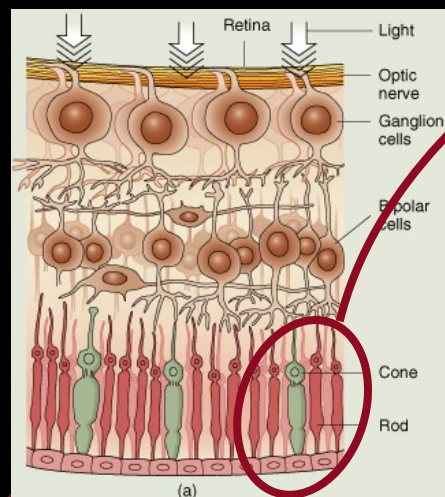


# what color is all about



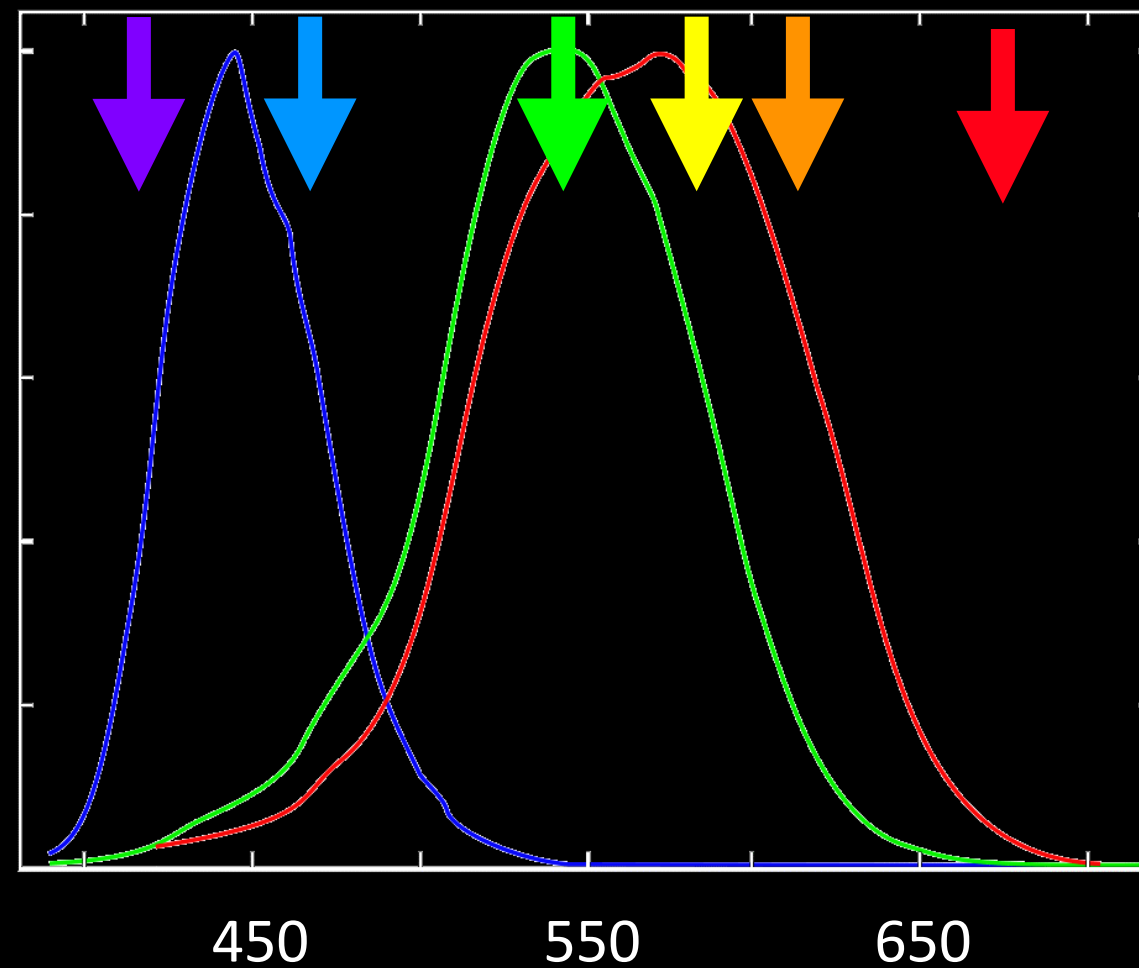


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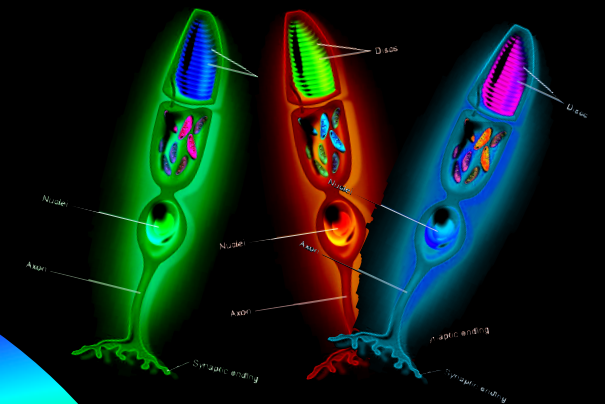
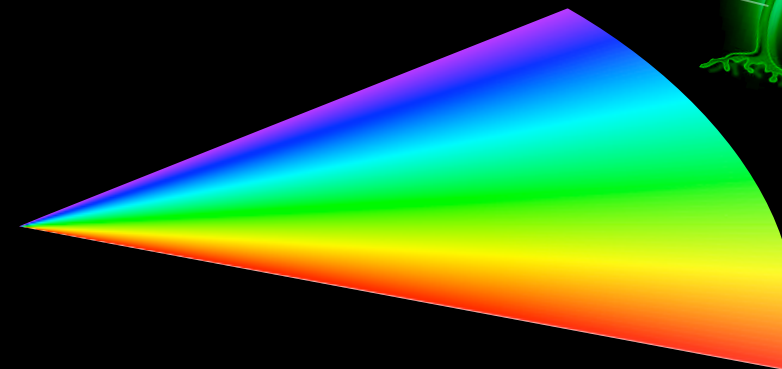




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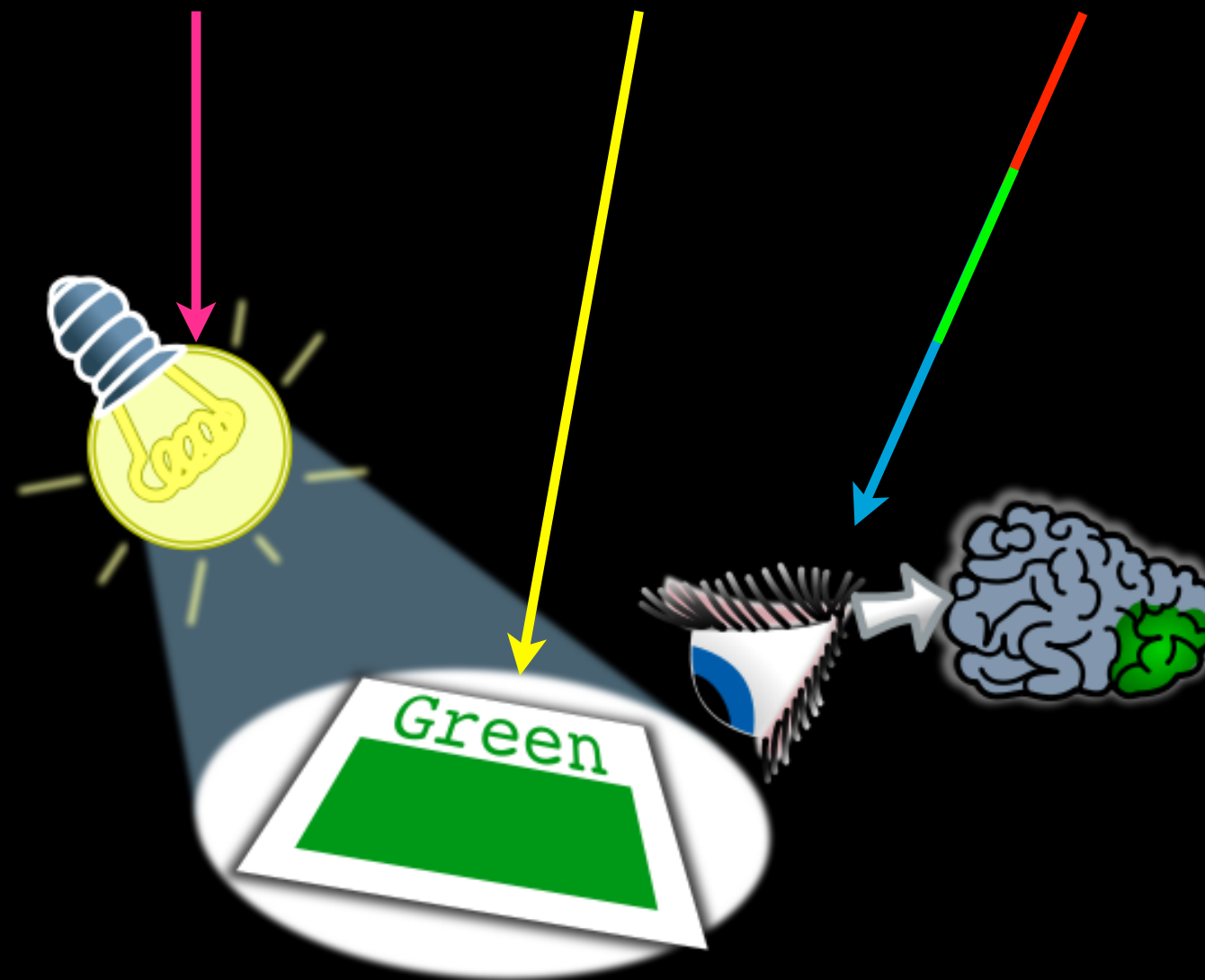


$$\text{anycolor}(\lambda) = r(\lambda) + g(\lambda) + b(\lambda)$$

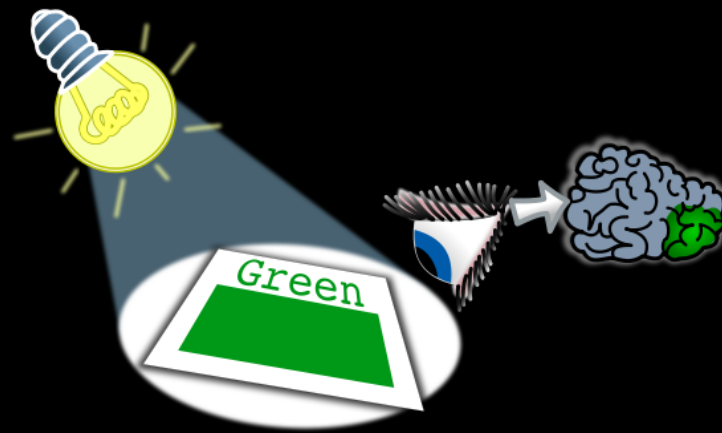


# what makes things glitter the way they do

stimulus =  
illuminant × transmission × sensitivity



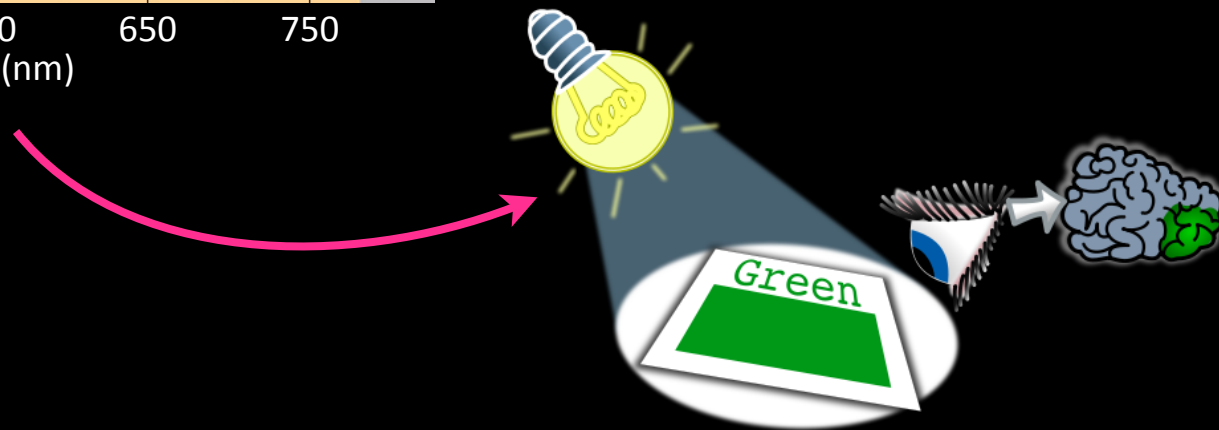
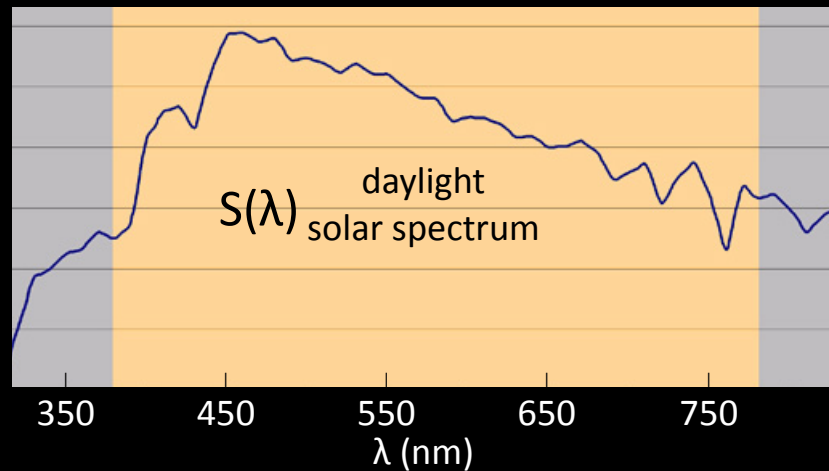
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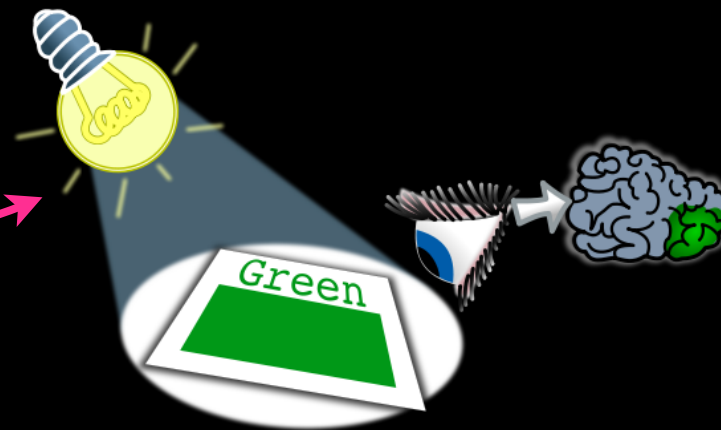
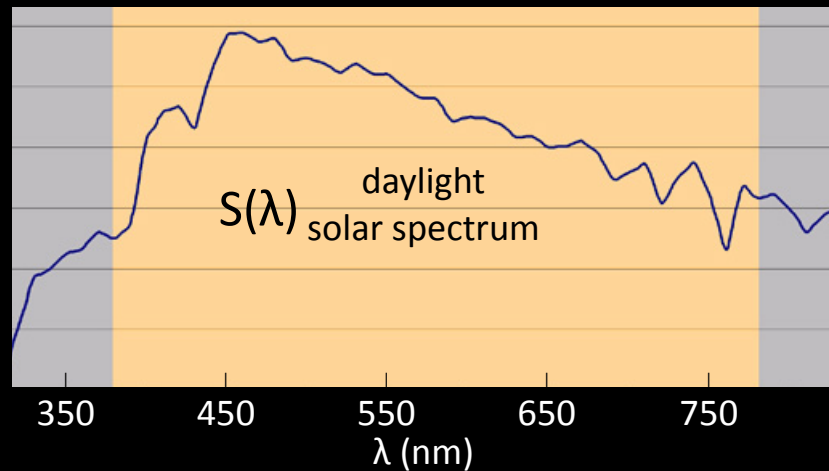
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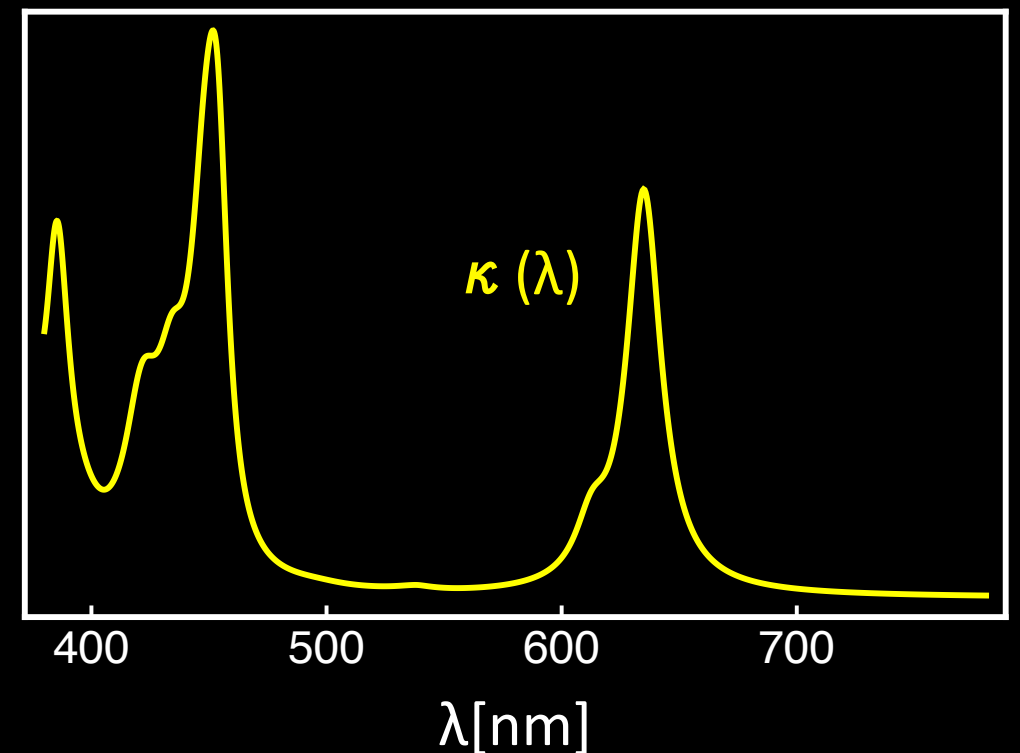
$S(\lambda)$

# what makes things glitter the way they do

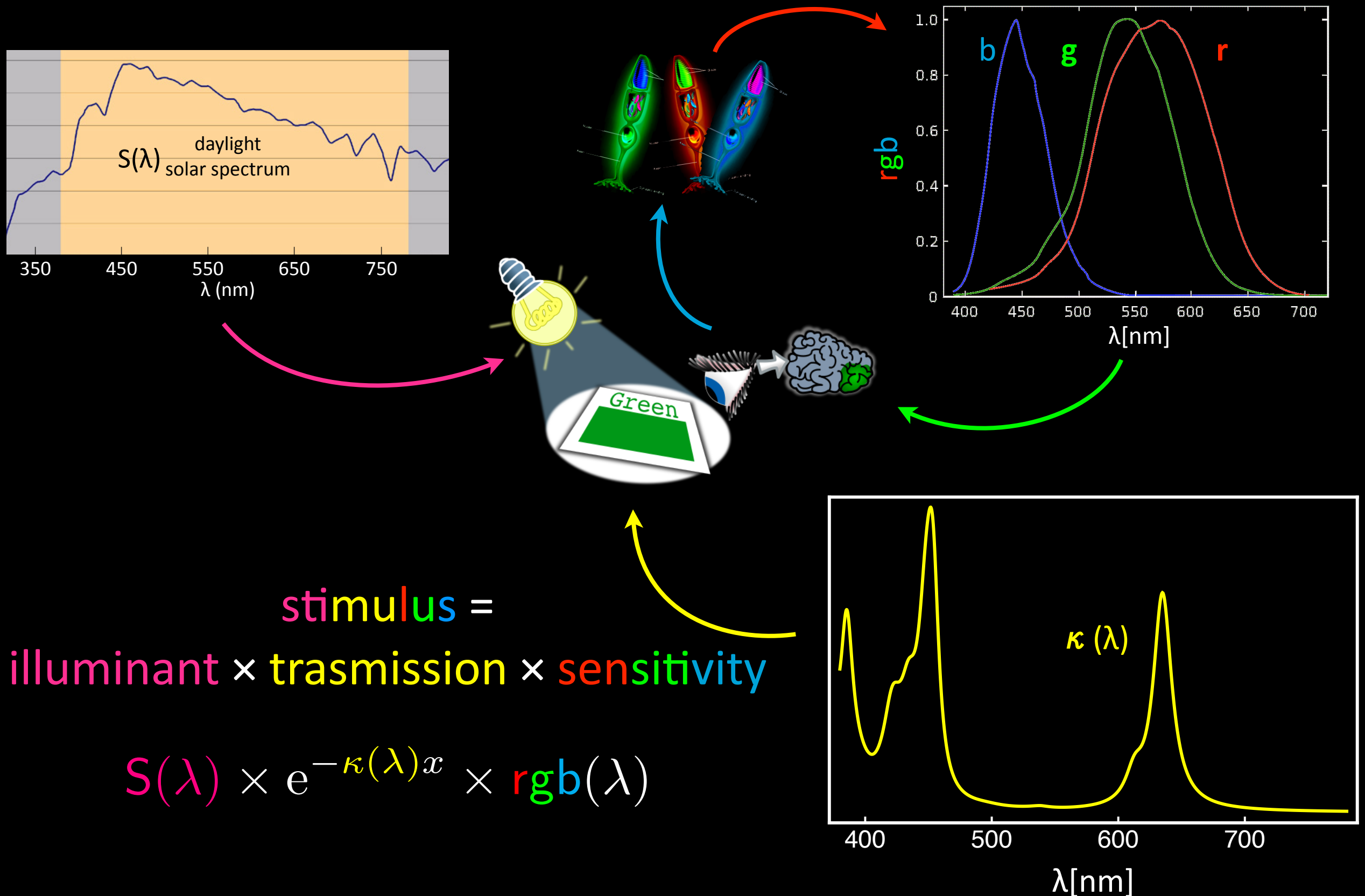


stimulus =  
illuminant  $\times$  transmission  $\times$  sensitivity

$$S(\lambda) \times e^{-\kappa(\lambda)x}$$

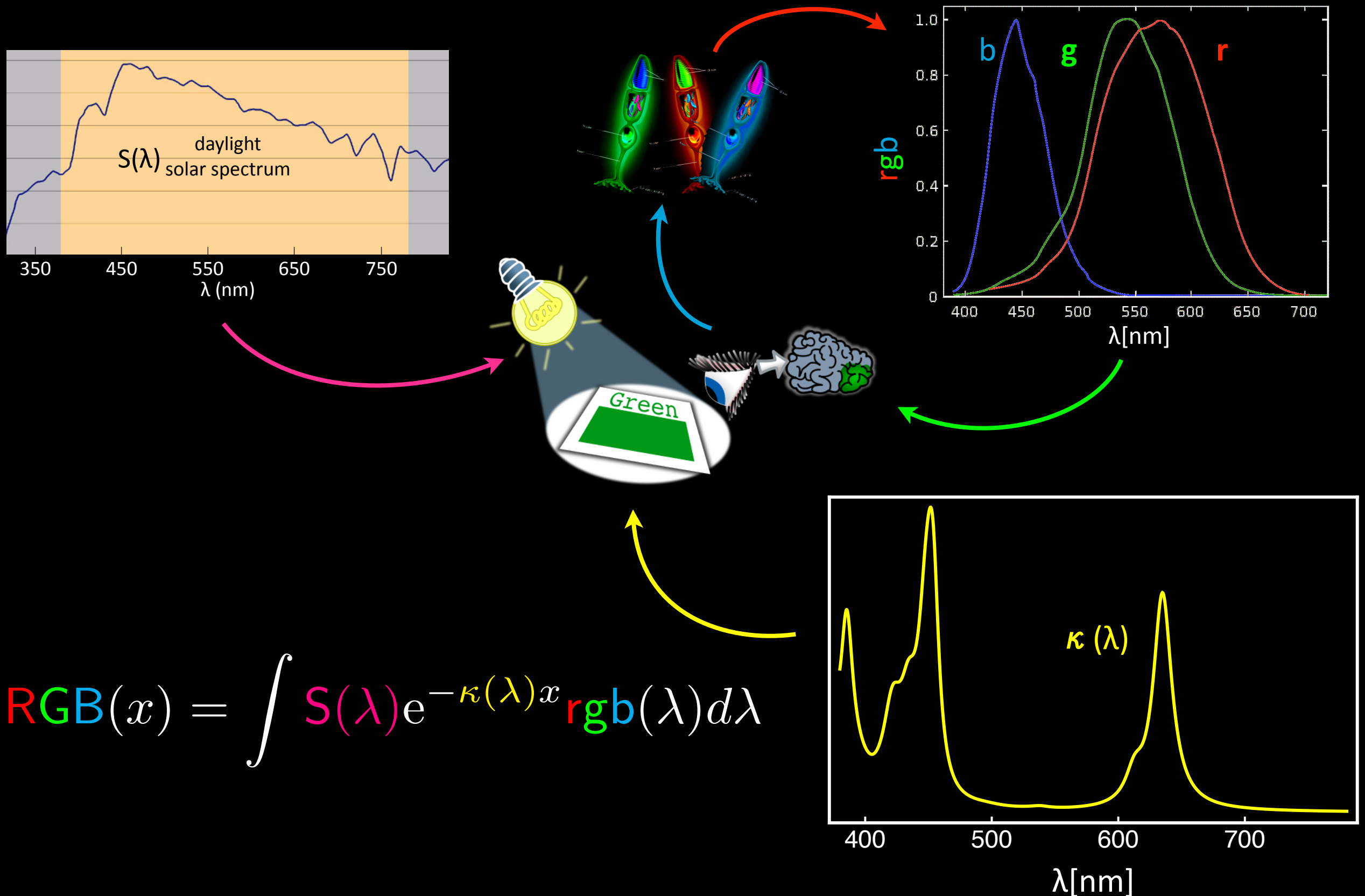


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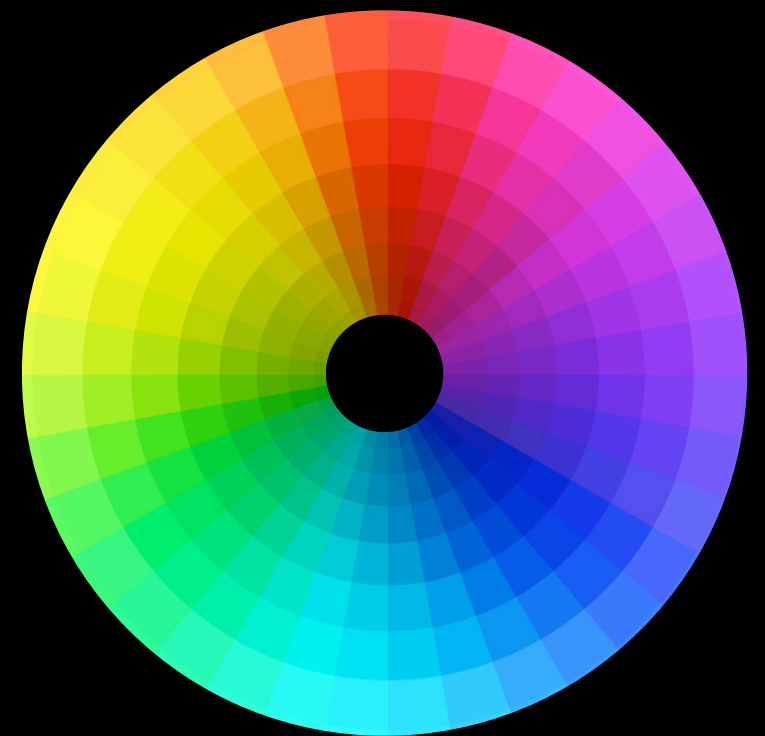




# what makes things glitter the way they do



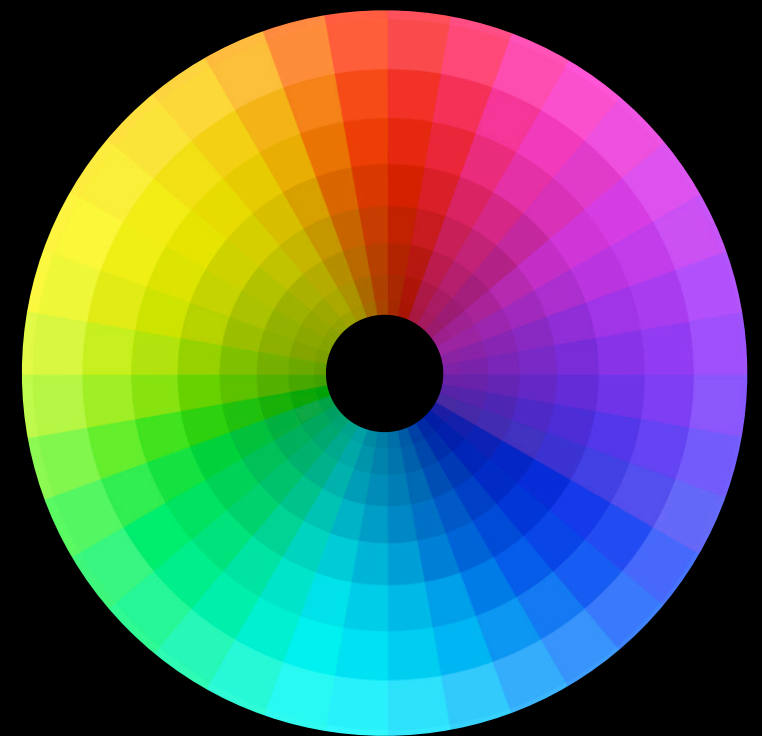
# a puzzle for you



# a puzzle for you



**hint:** the solution is contained  
in one of the previous slides





# spectroscopy

$$\kappa(\omega) \propto \omega \operatorname{Im} \alpha(\omega)$$

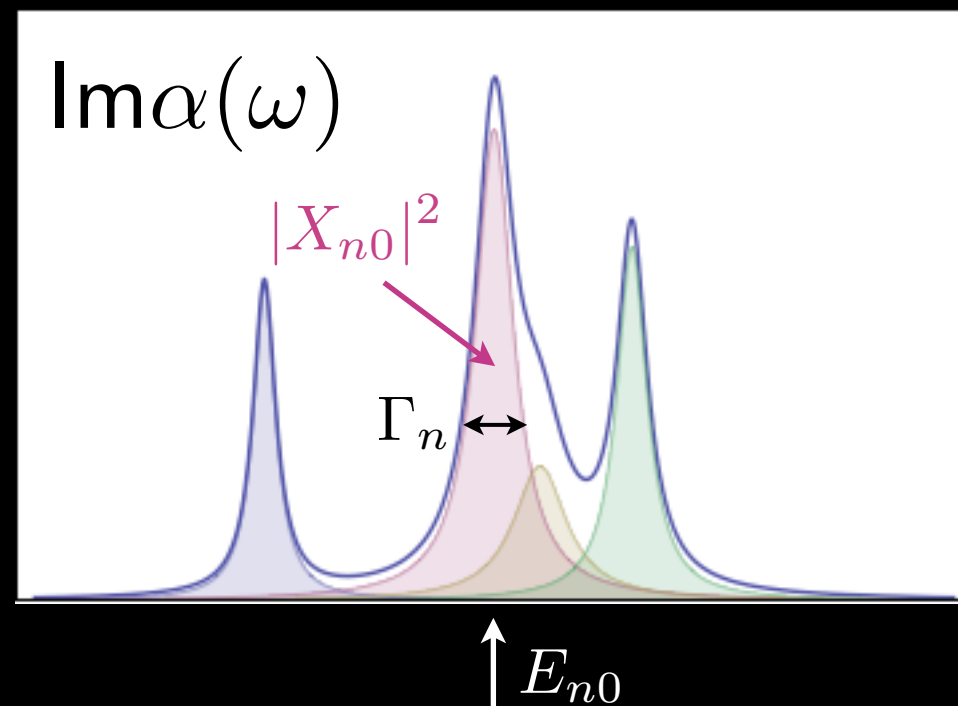
$$\mathbf{d}(\omega) = \alpha(\omega) \mathbf{E}(\omega)$$

# spectroscopy

$$\kappa(\omega) \propto \omega \operatorname{Im}\alpha(\omega)$$

$$\mathbf{d}(\omega) = \alpha(\omega)\mathbf{E}(\omega)$$

$$\alpha(\omega) = \sum_{n \neq 0} \left[ \frac{X_{0n}X_{n0}}{\omega - E_{n0} + i\delta} - \frac{X_{0n}X_{n0}}{\omega + E_{n0} + i\delta} \right]$$



# spectroscopy

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$$d(\omega) = \alpha(\omega) E(\omega)$$

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# optical spectra from TDDF (perturbation) T

$$\mathbf{d}(t) = \text{Tr}(\mathbf{d}\rho(t))$$

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$$\begin{aligned}\rho(t) &= \rho^\circ + \rho'(t) \\ H_{KS}(t) &= H^\circ + V'_{ext}(t) + V'_{HXC}(t)\end{aligned}$$

$$i \dot{\rho}' = [H^\circ, \rho'] + [V'_{HXC}, \rho^\circ] + [V'_{ext}, \rho^\circ] + \mathcal{O}(V'^2)$$

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$$i \dot{\rho}' = \mathcal{L} \rho' + [V'_{ext}, \rho^\circ]$$



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$$(\omega - \mathcal{L})\tilde{\rho}'(\omega) = [\tilde{V}'_{ext}(\omega), \rho^\circ]$$

optical spectra from TDDF (perturbation) T

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optical spectra from TDDF (perturbation) T

$$(\omega - \mathcal{L})\tilde{\rho}'(\omega) = [\tilde{V}'_{ext}(\omega), \rho^o]$$

$$\alpha(\omega) = \text{Tr}(\mathbf{d}\tilde{\rho}'(\omega))$$

# optical spectra from TDDF (perturbation) T

$$(\omega - \mathcal{L})\tilde{\rho}'(\omega) = [\tilde{V}'_{ext}(\omega), \rho^{\circ}]$$

$$\begin{aligned}\alpha(\omega) &= \text{Tr}(\mathbf{d}\tilde{\rho}'(\omega)) \\ &= (\mathbf{d}, (\omega - \mathcal{L})^{-1} \cdot [\tilde{V}'_{ext}(\omega), \rho^{\circ}])\end{aligned}$$

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# the Lanczos connection

$$g(\omega) = \langle \phi_0 | (\omega - \mathcal{H})^{-1} | \phi_0 \rangle$$

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J. Phys. C: Solid State Phys., Vol. 5, 1972. Printed in Great Britain. © 1972

## **Electronic structure based on the local atomic environment for tight-binding bands**

R HAYDOCK, VOLKER HEINE and M J KELLY  
Cavendish Laboratory, Cambridge, UK



# the Lanczos connection

$$g(\omega) = \langle \phi_0 | (\omega - \mathcal{H})^{-1} | \phi_0 \rangle$$

$$\phi_{-1} = 0$$

$$b_{n+1} \phi_{n+1} = (\mathcal{H} - a_n) \phi_n - b_n \phi_{n-1}$$

$$\langle \phi_{n+1} | \phi_{n+1} \rangle = 1$$

$$a_n = \langle \phi_n | \mathcal{H} | \phi_n \rangle$$

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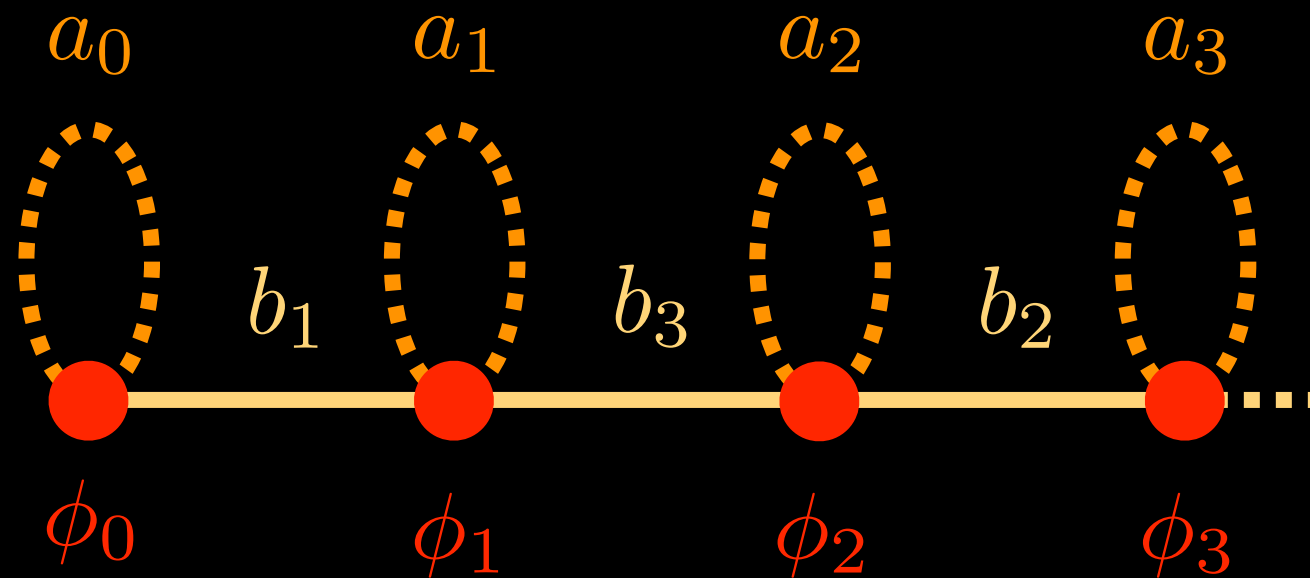
$$\langle \phi_{n+1} | \phi_{n+1} \rangle = 1$$

$$a_n = \langle \phi_n | \mathcal{H} | \phi_n \rangle$$

$$\mathcal{H} = \begin{pmatrix} a_0 & b_1 & 0 & \cdots & 0 \\ b_1 & a_1 & b_2 & 0 & \vdots \\ 0 & b_2 & a_2 & \ddots & 0 \\ \vdots & 0 & \ddots & \ddots & b_n \\ 0 & \cdots & 0 & b_n & a_n \end{pmatrix}$$

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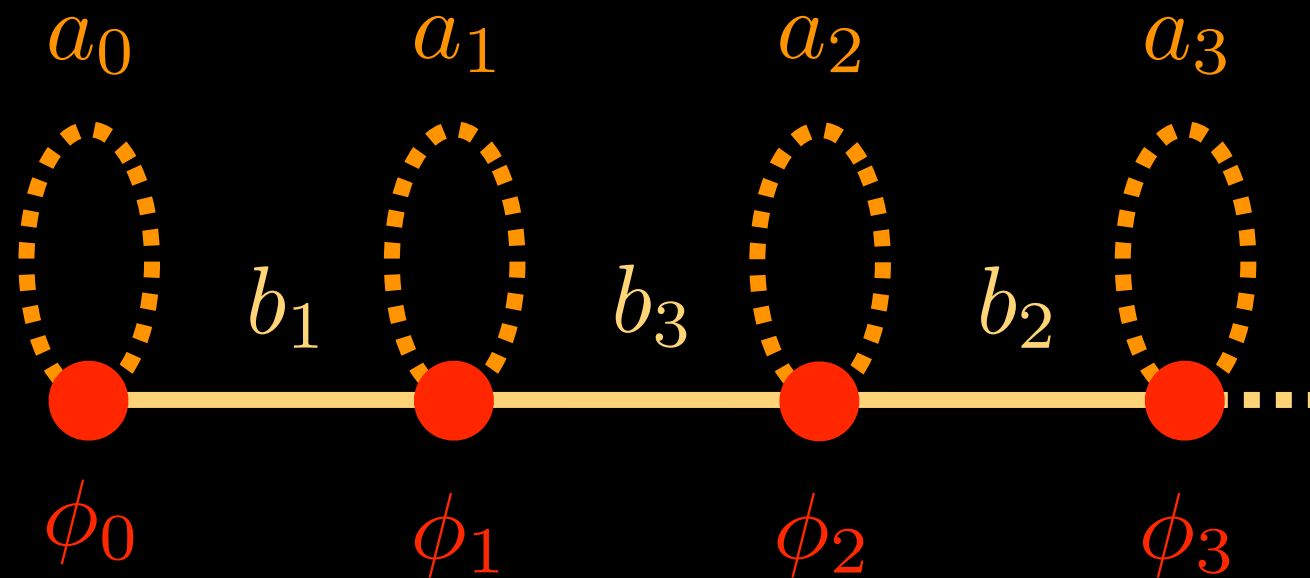


$$\mathcal{H} = \begin{pmatrix} a_0 & b_1 & 0 & \dots & 0 \\ b_1 & a_1 & b_2 & 0 & \vdots \\ 0 & b_2 & a_2 & \ddots & 0 \\ \vdots & 0 & \ddots & \ddots & b_n \\ 0 & \dots & 0 & b_n & a_n \end{pmatrix}$$

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$$g(\omega) = \frac{1}{\omega - a_0 + \frac{b_1^2}{\omega - a_1 + \frac{b_2^2}{\omega - a_2 + \cdots}}}$$

# the DFPT representation

$$\tilde{\rho}'(\omega) = \begin{pmatrix} 0 & Y^\dagger \\ X & 0 \end{pmatrix} \begin{matrix} \mathbf{v} \\ \mathbf{c} \end{matrix}$$

# the DFPT representation

$$\tilde{\rho}'(\omega) = \sum_{cv} \left( X_{cv}(\omega) |\varphi_c^\circ\rangle \langle \varphi_v^\circ| + Y_{cv}(\omega) |\varphi_v^\circ\rangle \langle \varphi_c^\circ| \right)$$

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$$|\{x_v(\mathbf{r})\}, \{y_v(\mathbf{r})\}\rangle$$

$$P_v x_v = P_v y_v = 0$$

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$$\begin{array}{l} \mathcal{L} \tilde{\rho}' \\ \mathcal{L}^\top \tilde{\rho}' \end{array} \Rightarrow \begin{array}{l} \{H^\circ x_v(\mathbf{r})\} \\ \{H^\circ y_v(\mathbf{r})\} \end{array} \quad \& \quad \{V'_{ee}(\mathbf{r}) \varphi_v^\circ(\mathbf{r})\}$$

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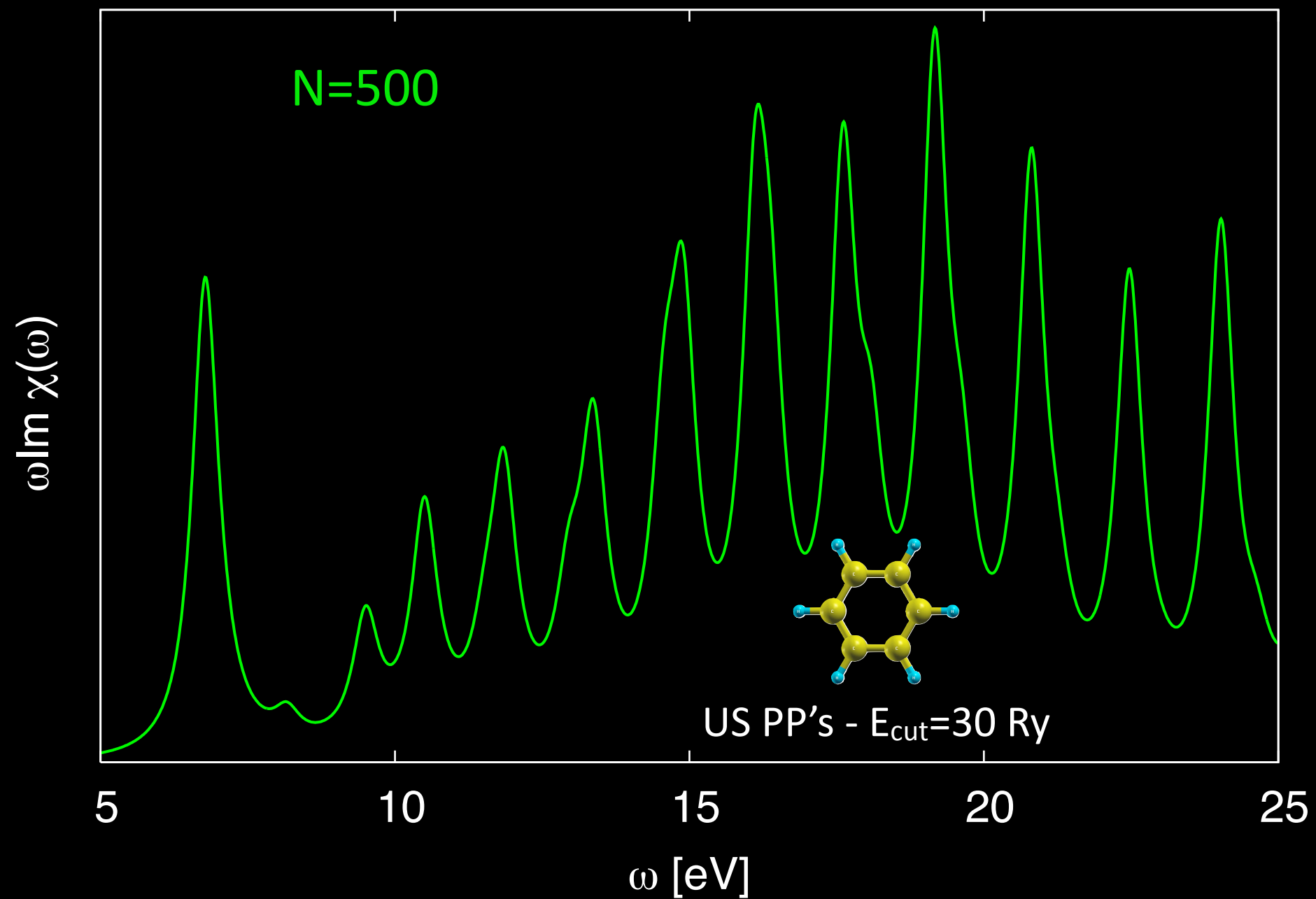
$$|\{x_v(\mathbf{r})\}, \{y_v(\mathbf{r})\}\rangle$$

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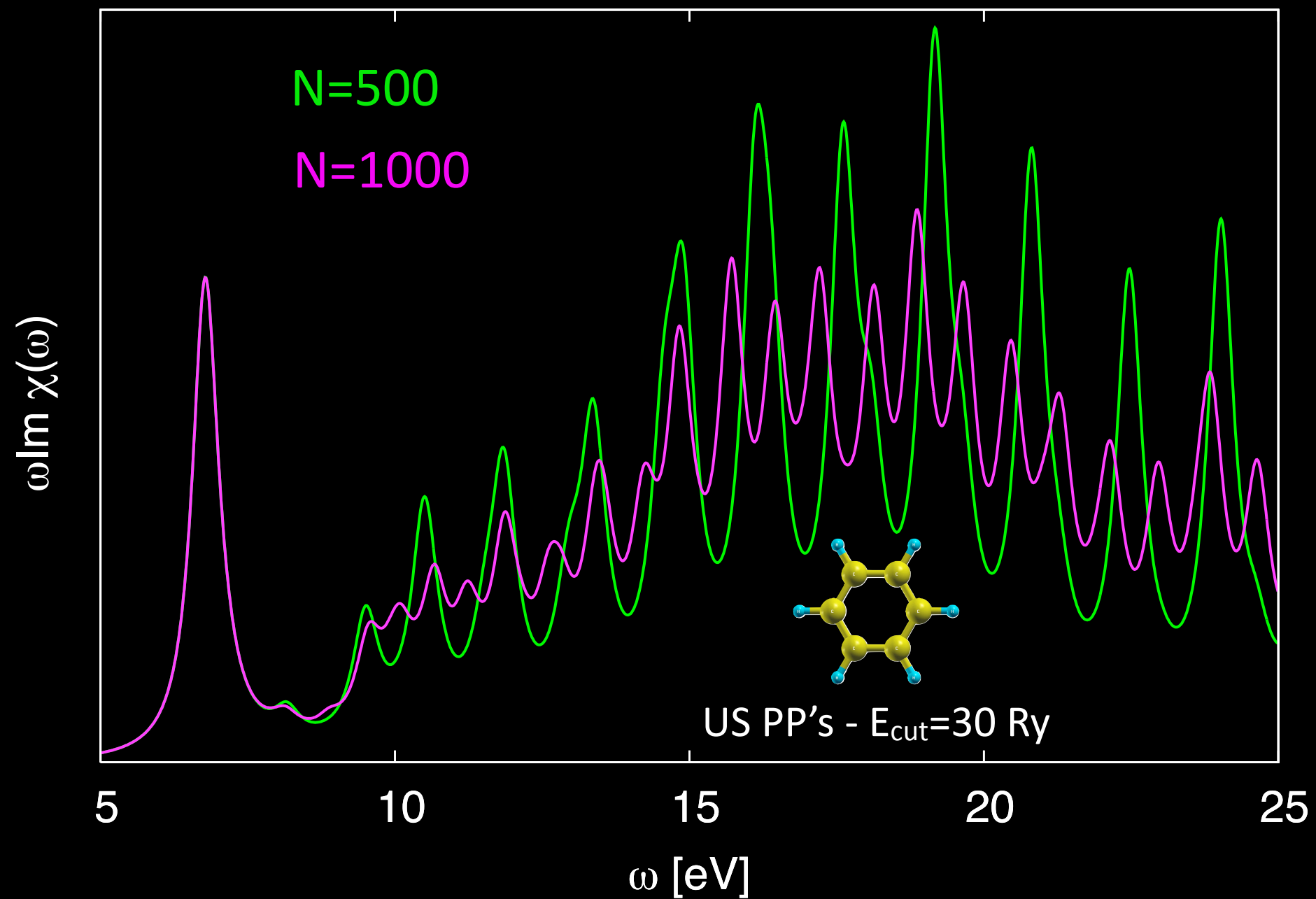
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$$n'(\mathbf{r}) = \frac{1}{2} \sum_v (x_v(\mathbf{r}) + y_v(\mathbf{r})) \varphi_v^\circ(\mathbf{r})$$

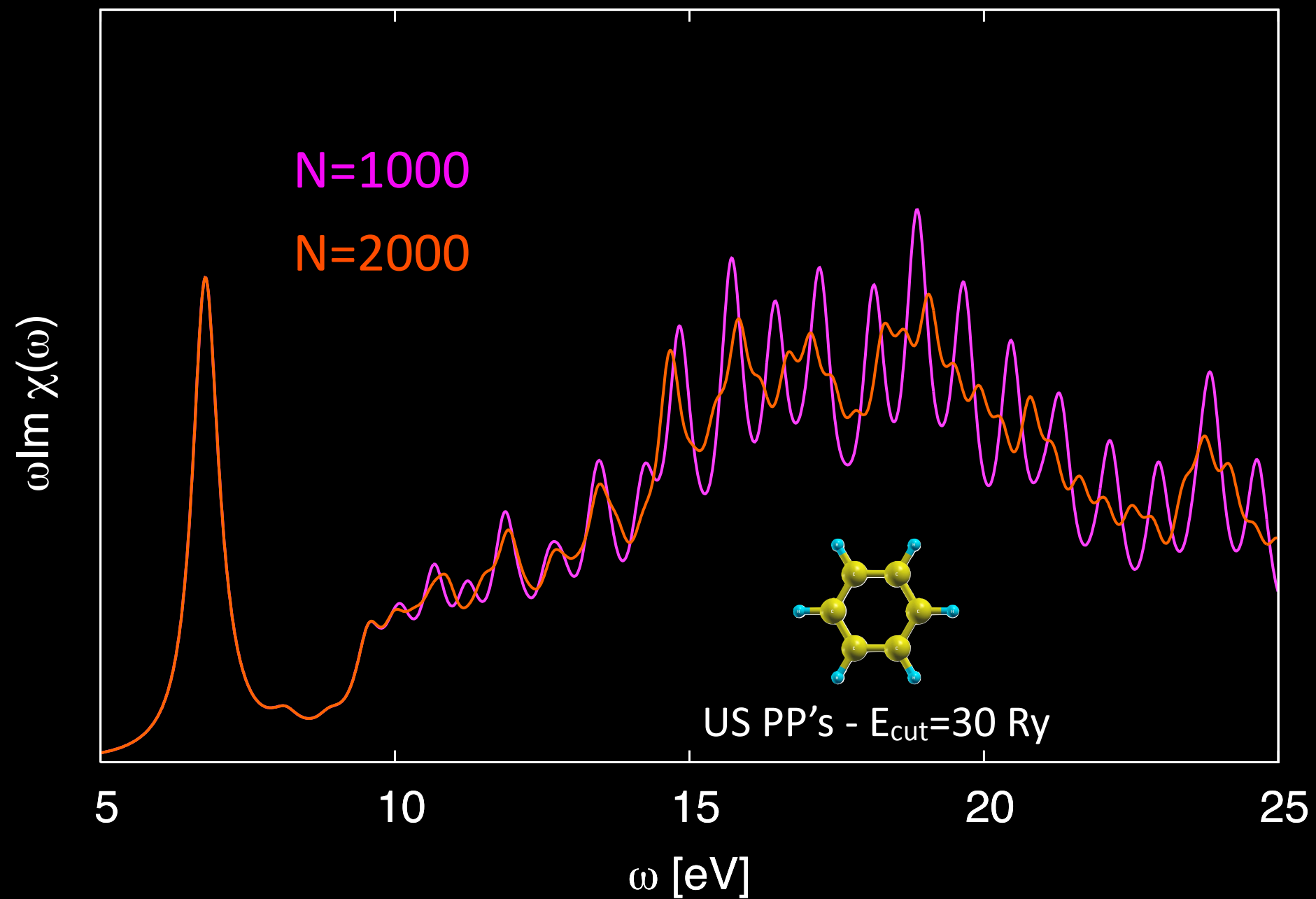
# benzene, a benchmark



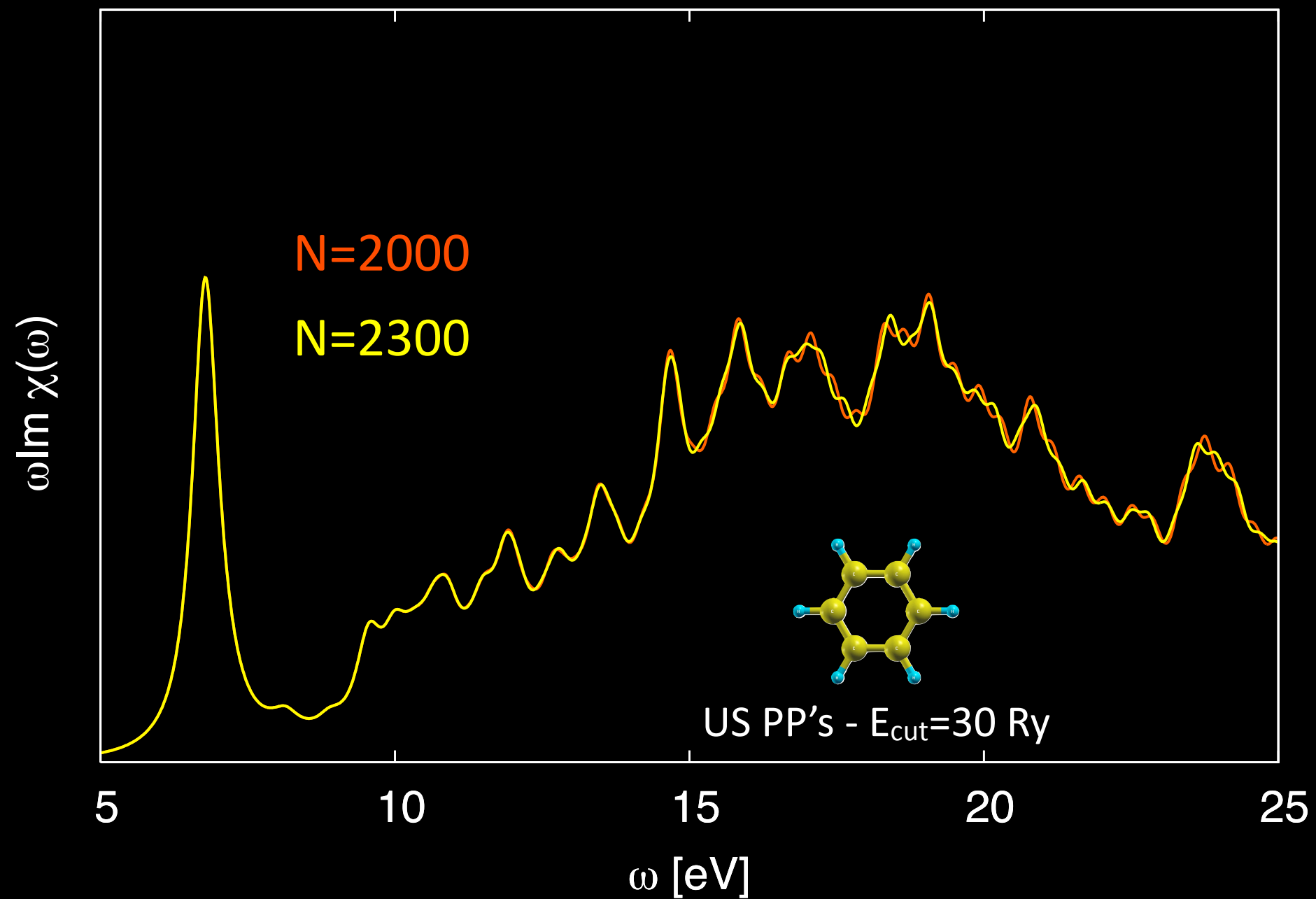
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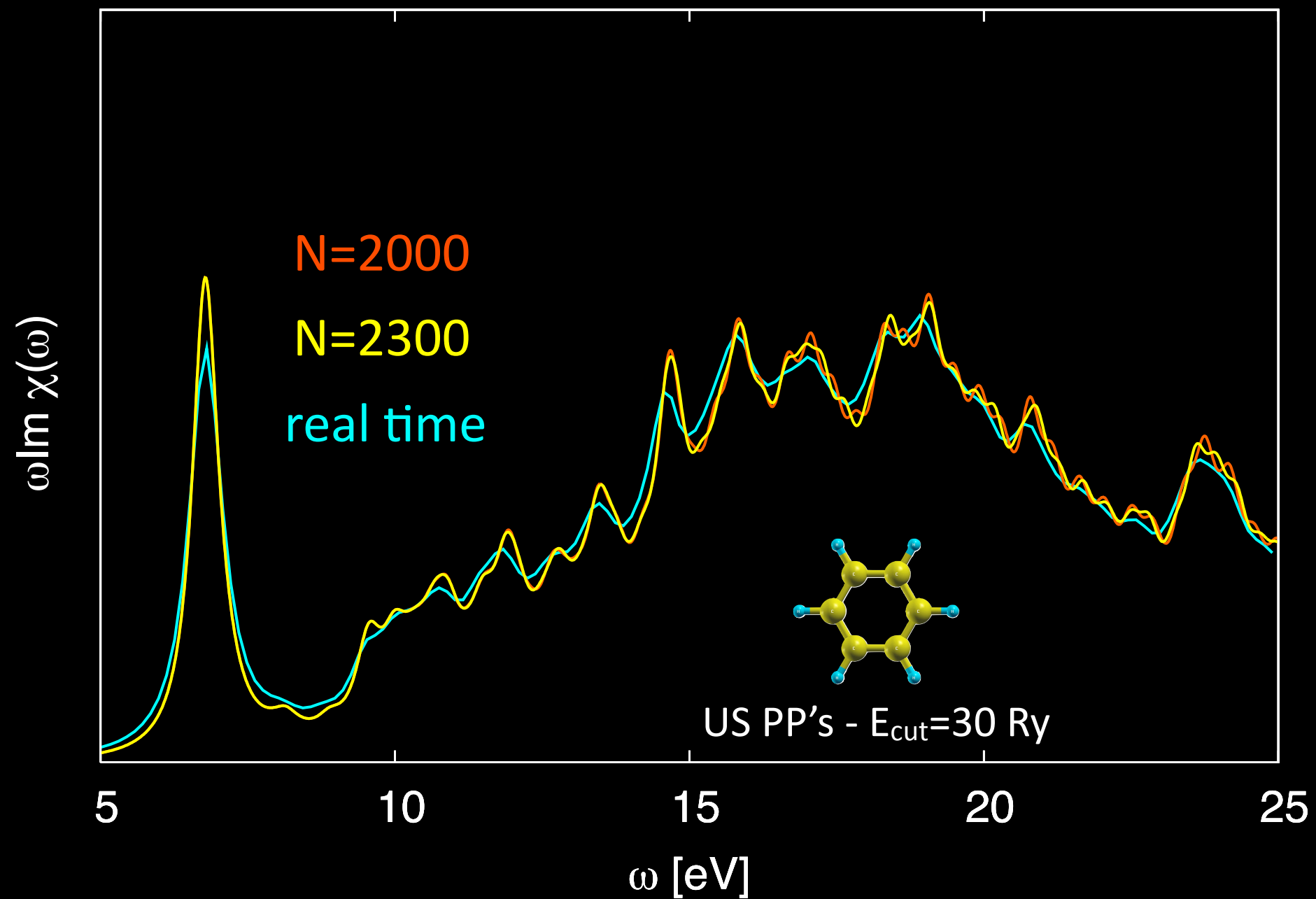


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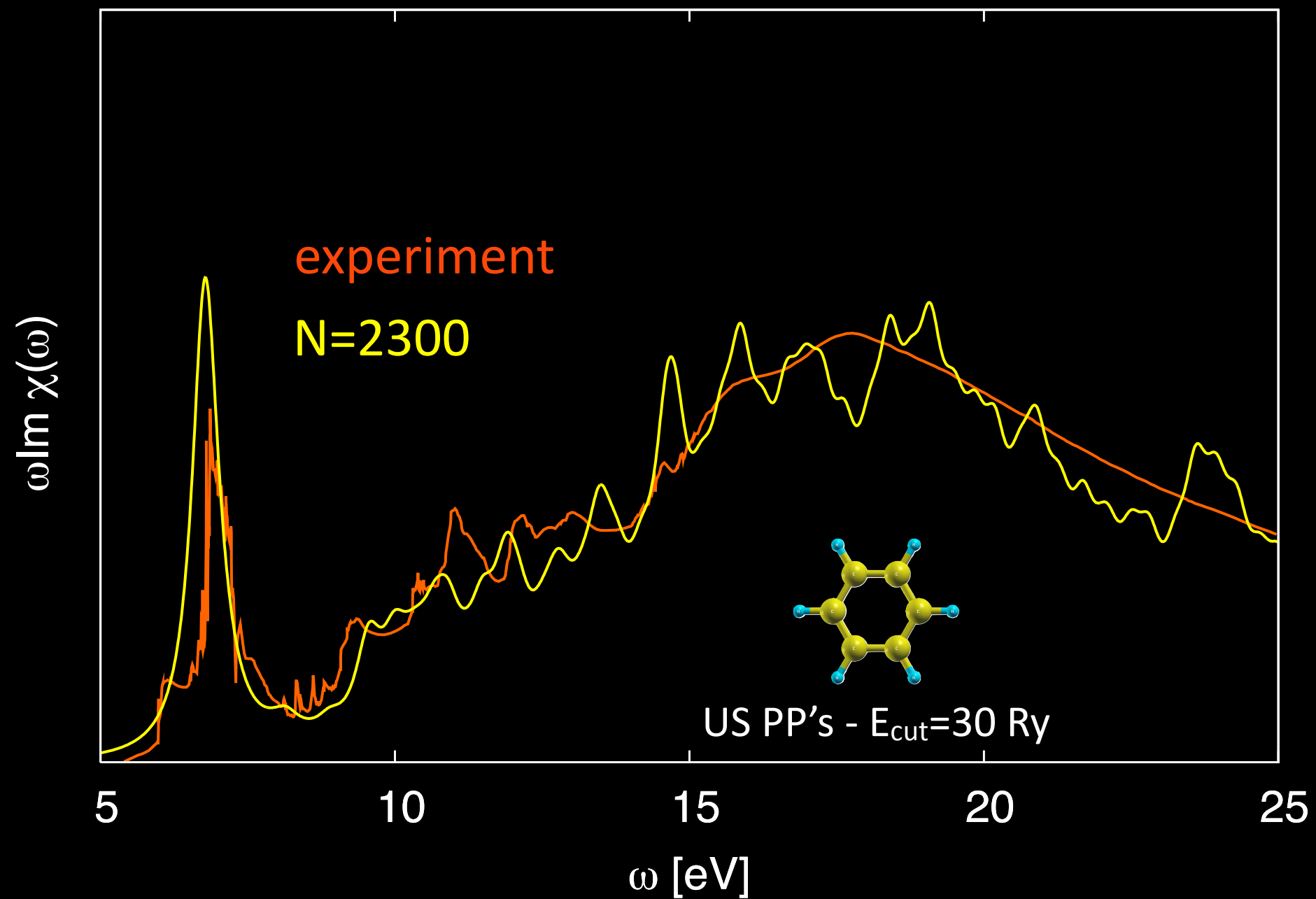




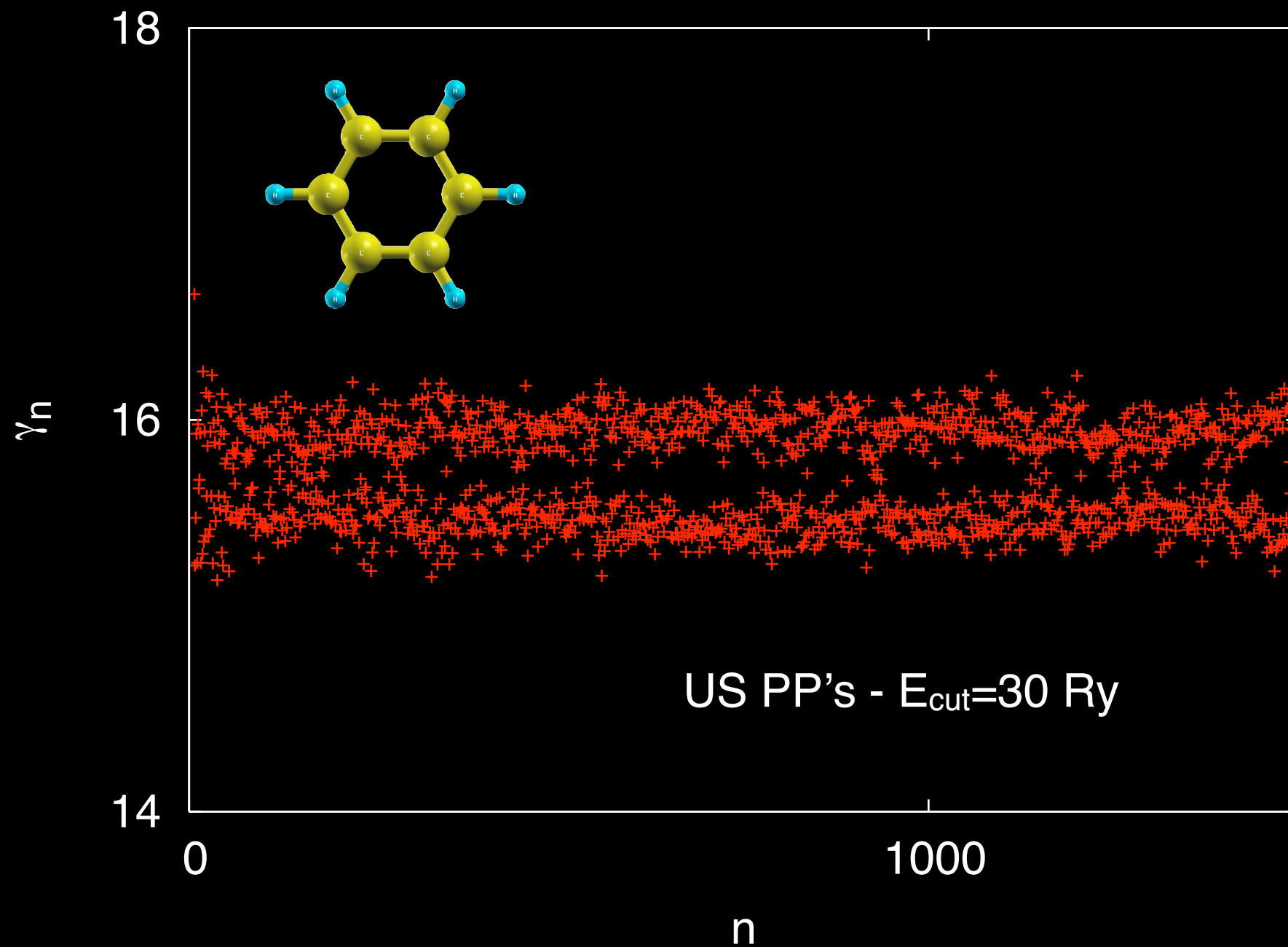
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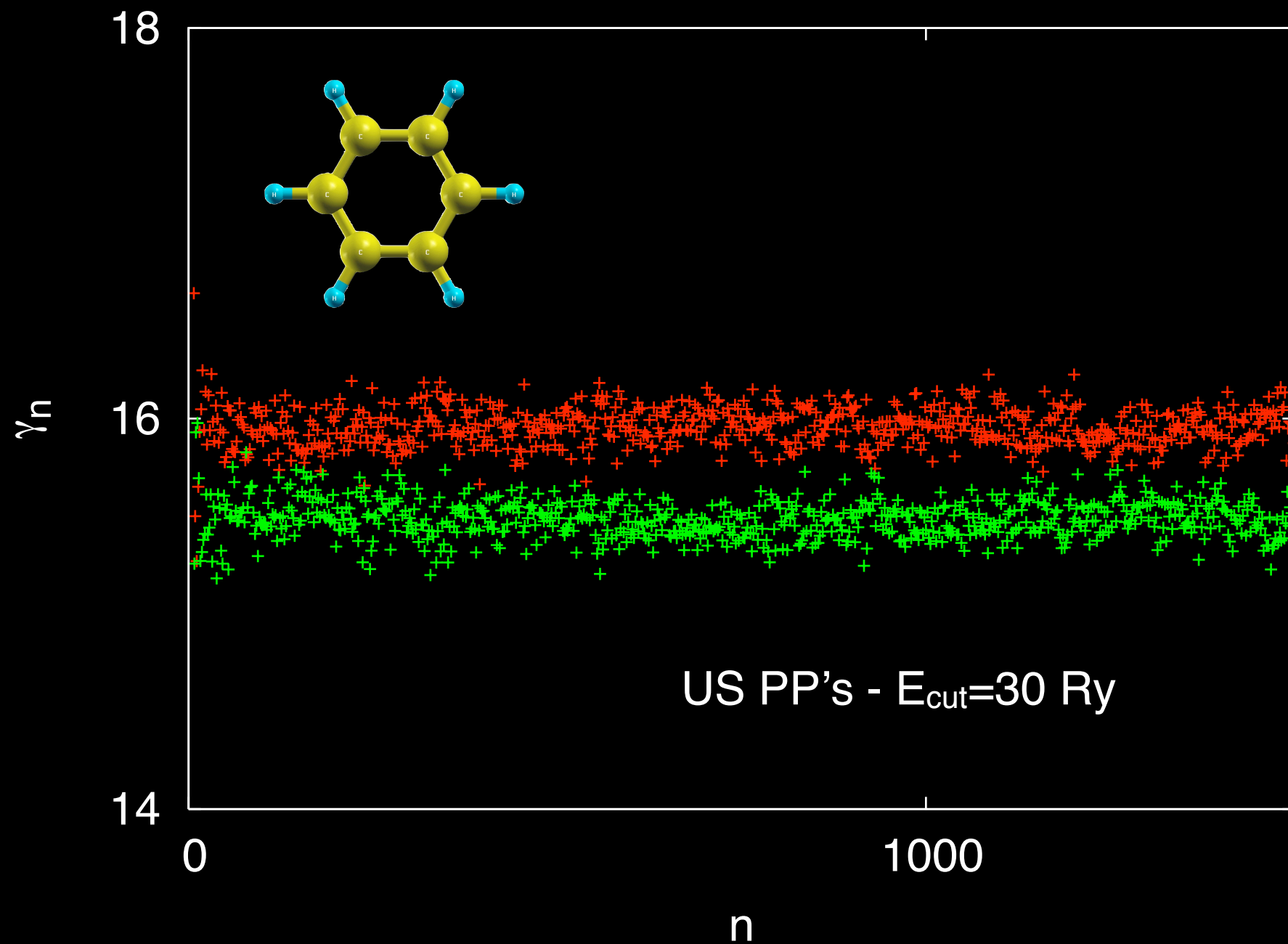
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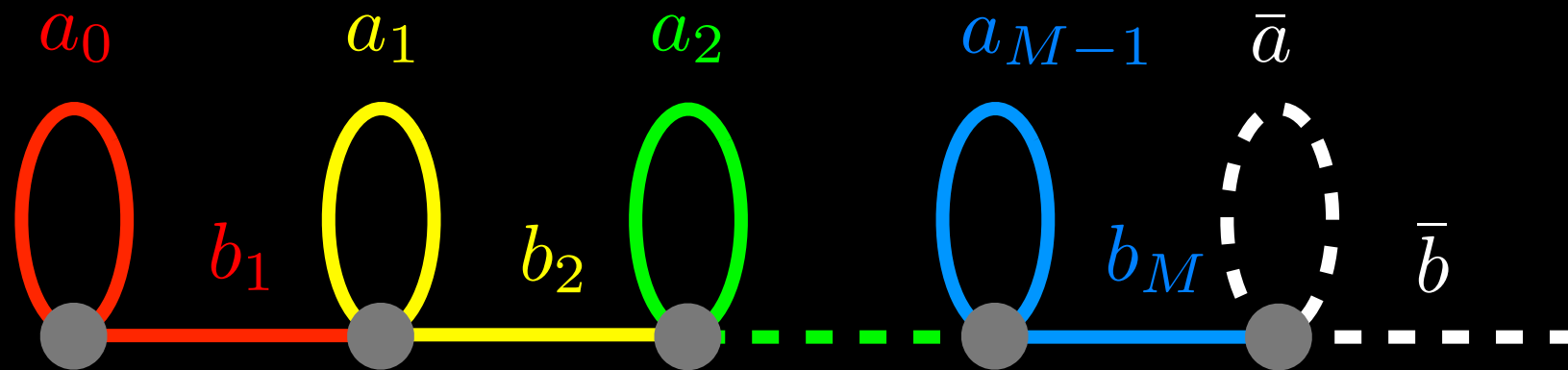
# speeding up the convergence



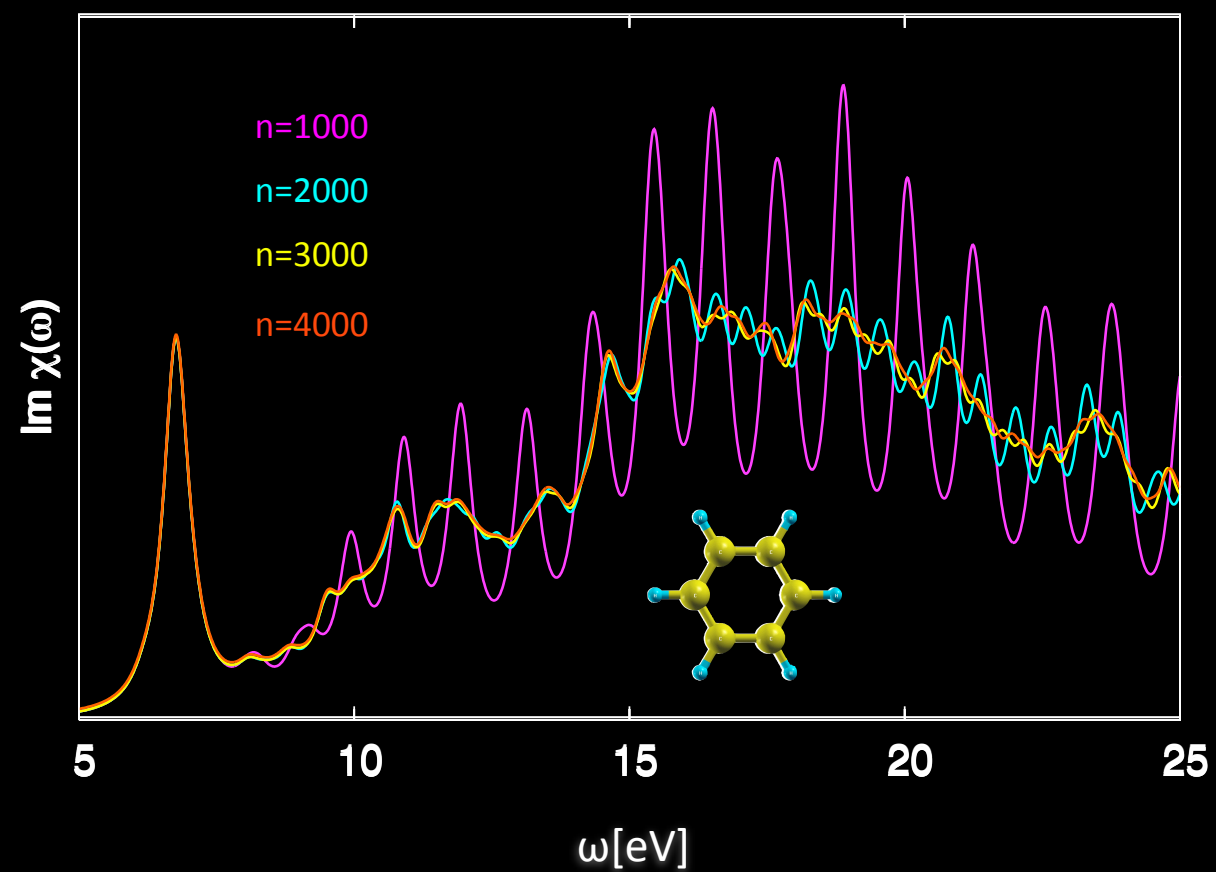
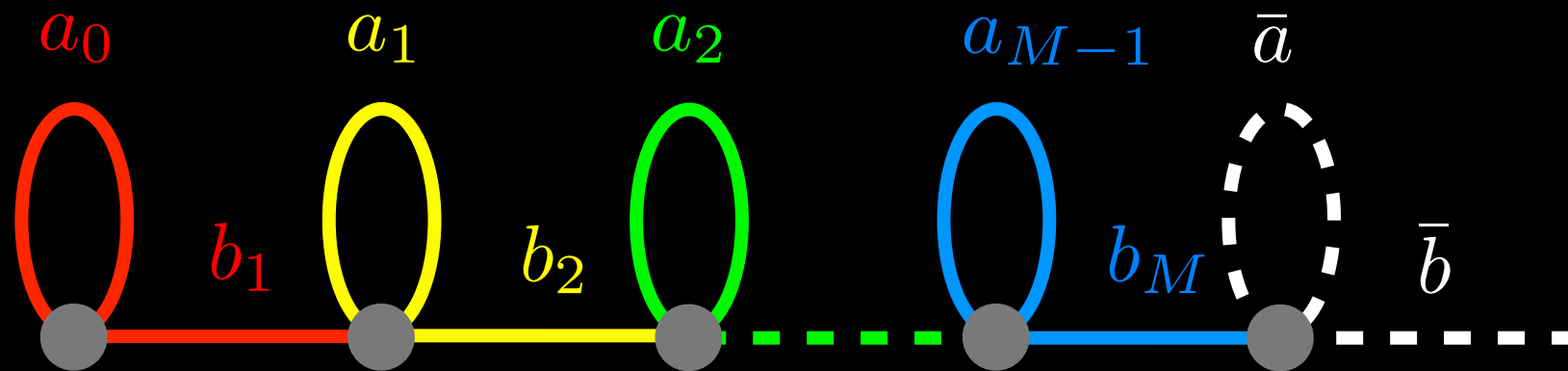
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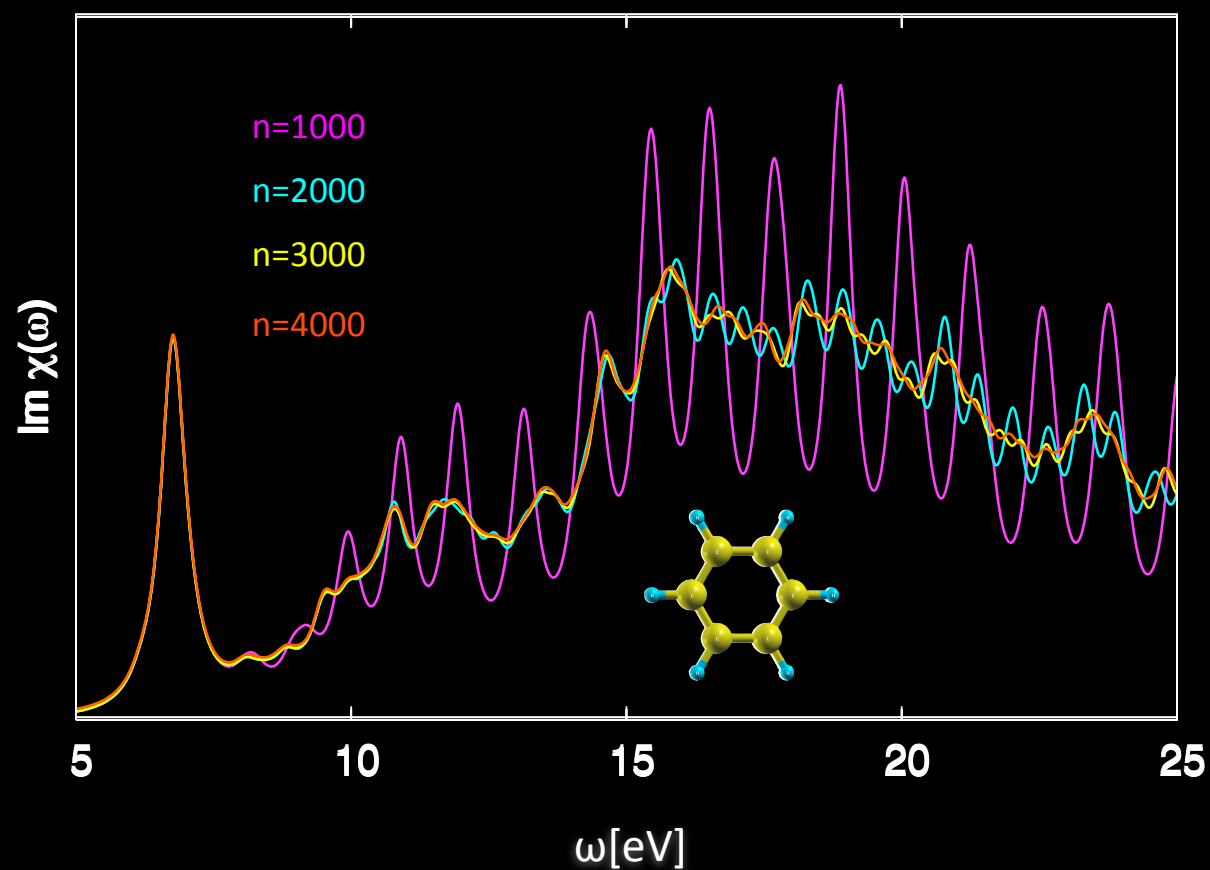
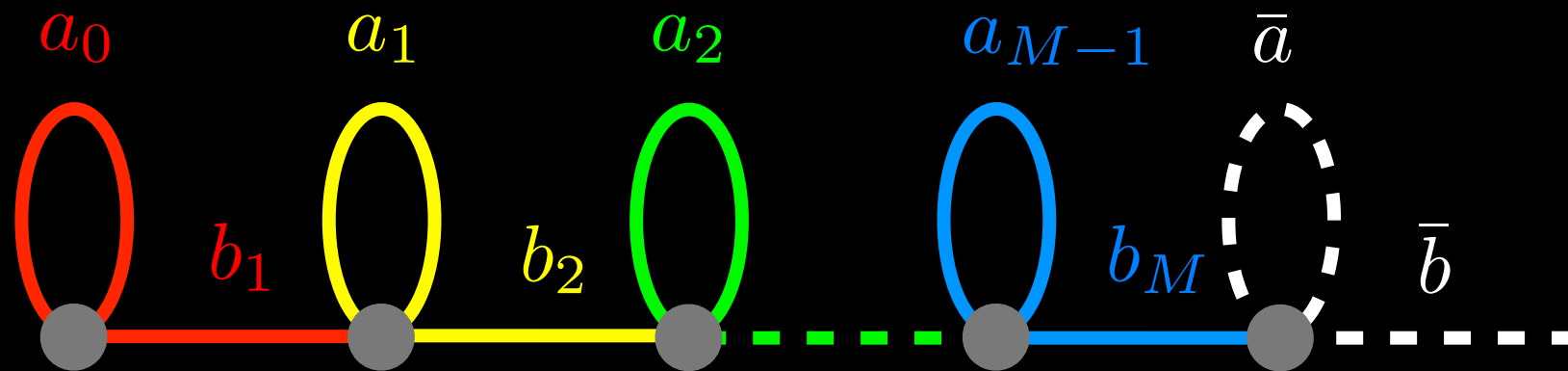


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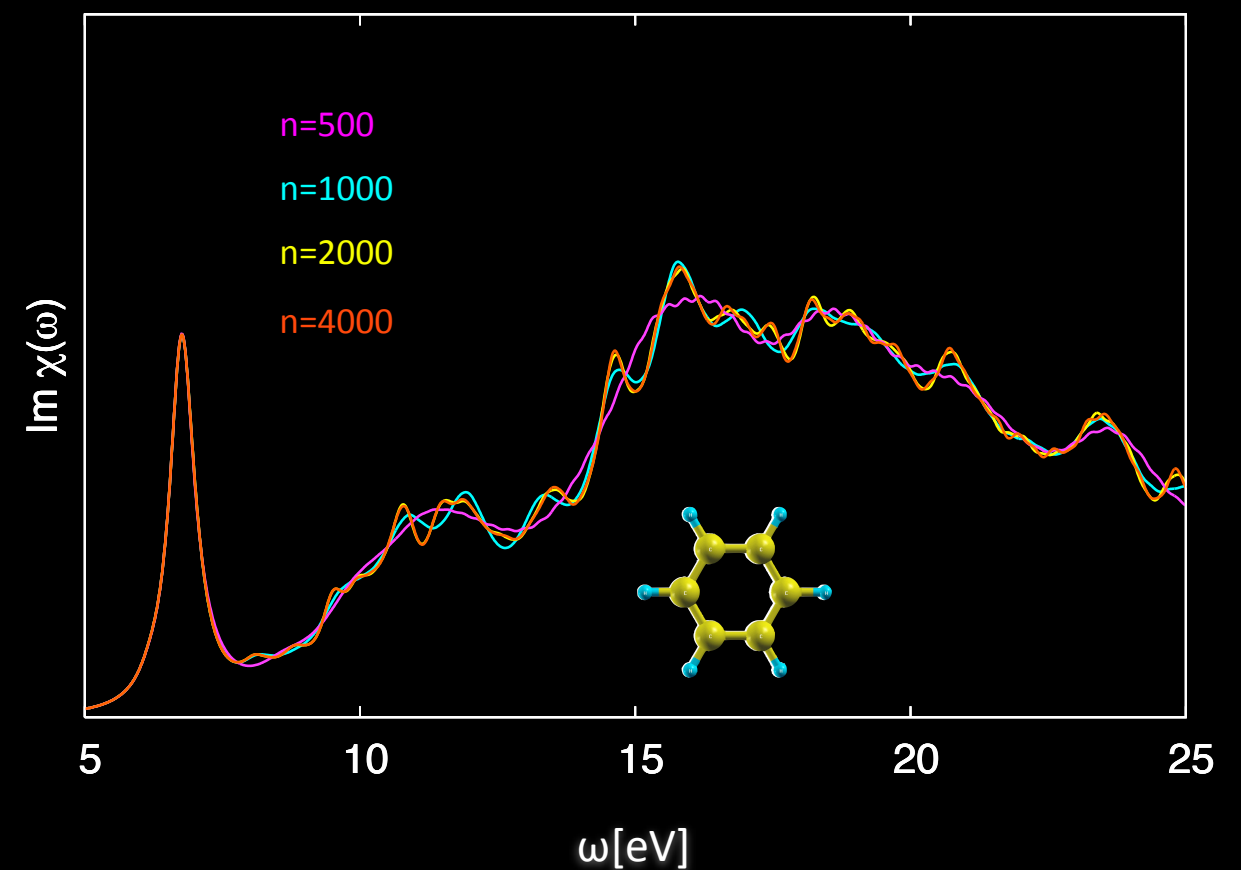


no extrapolation

# speeding up the convergence

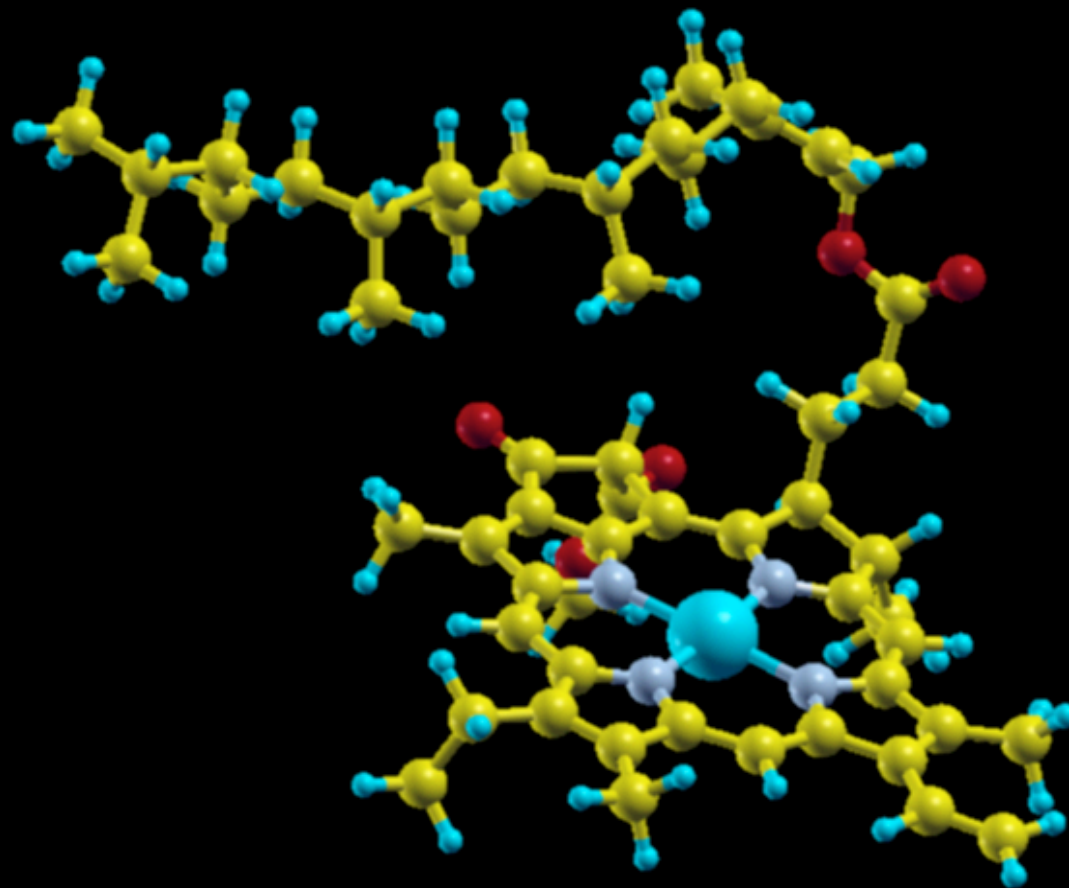


no extrapolation



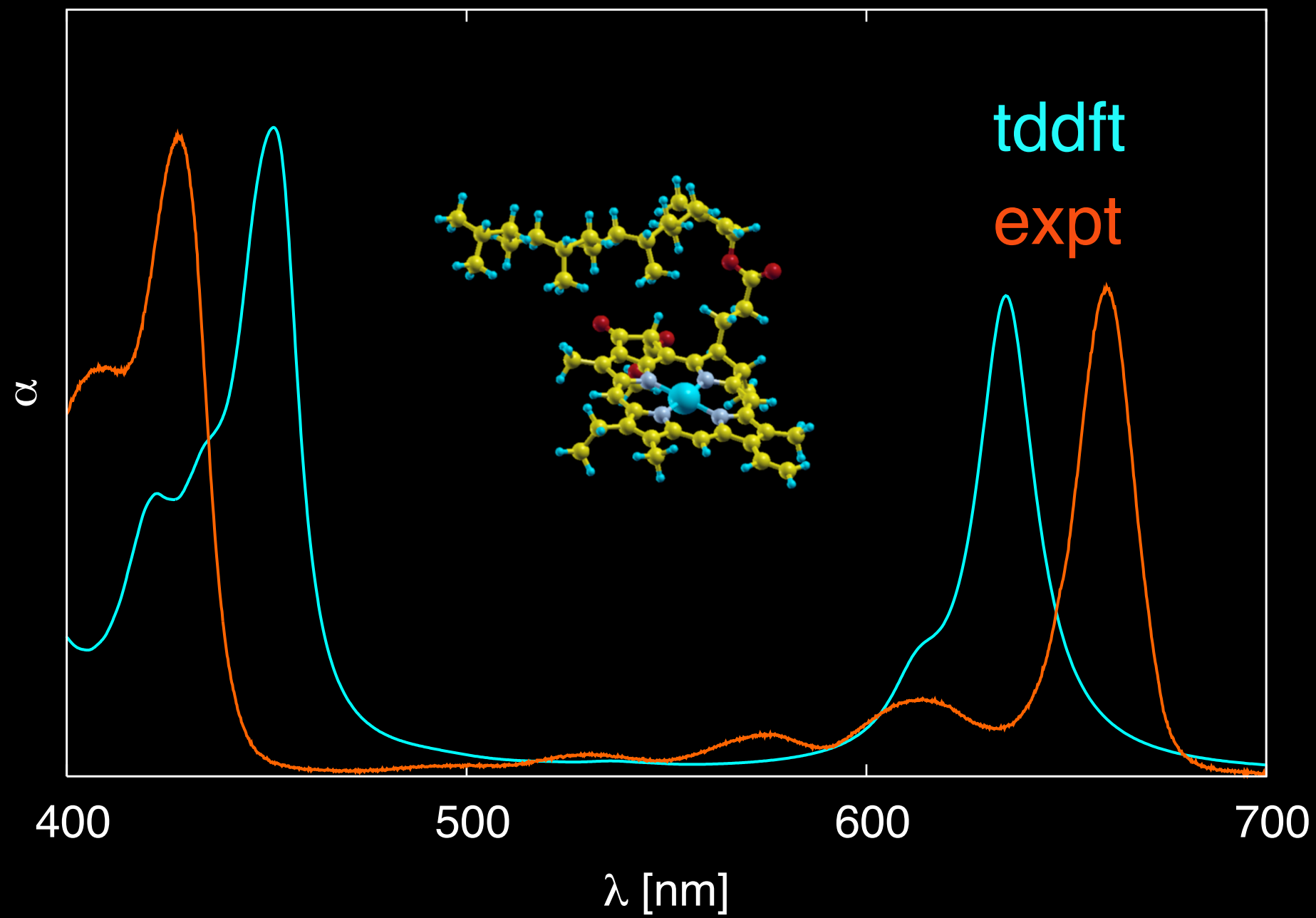
extrapolation

# chlorofyll a

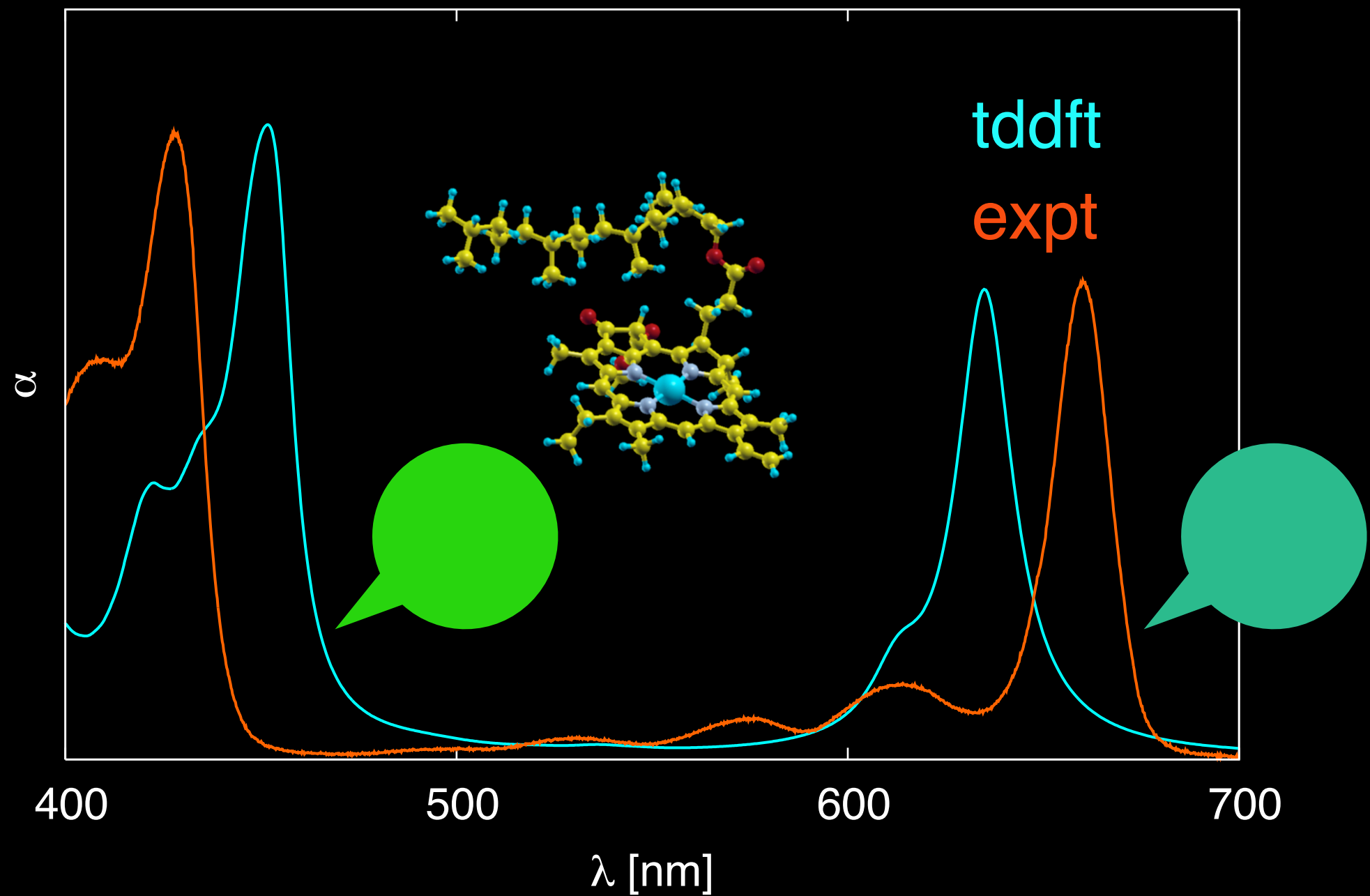




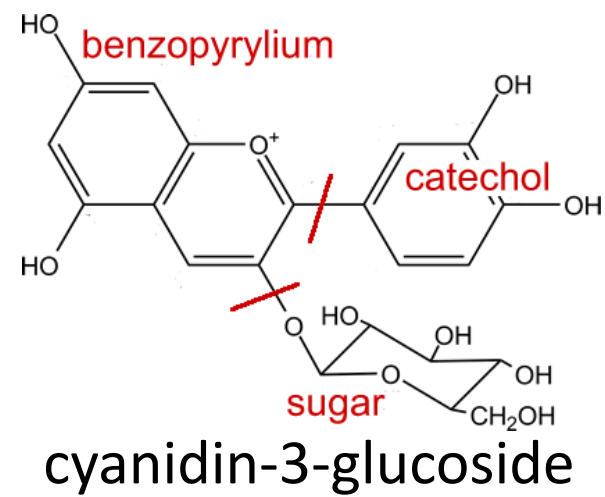
# chlorofyll a



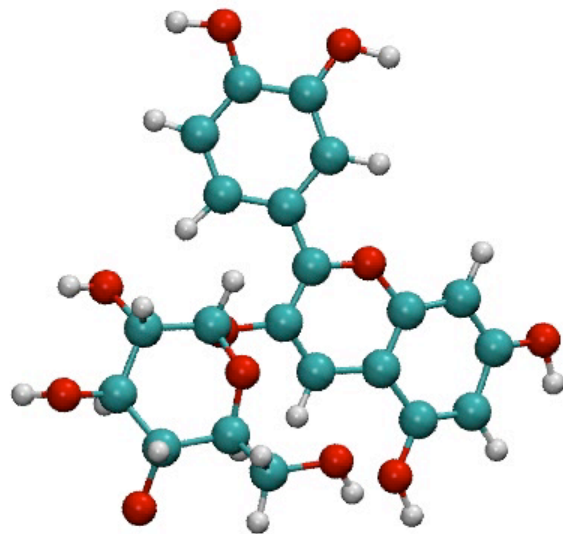
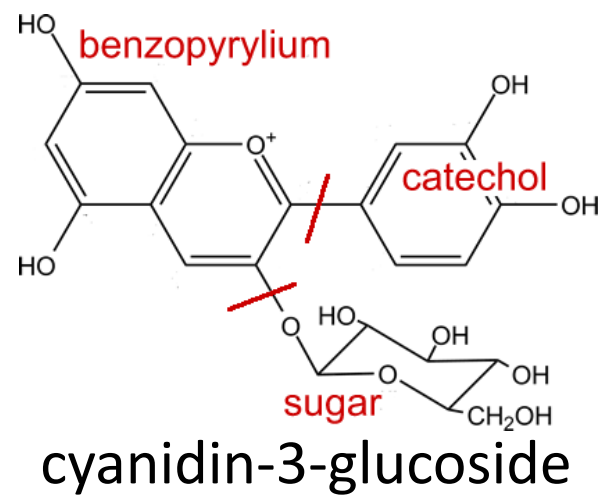
# chlorofyll a



# color and function of anthocyanins

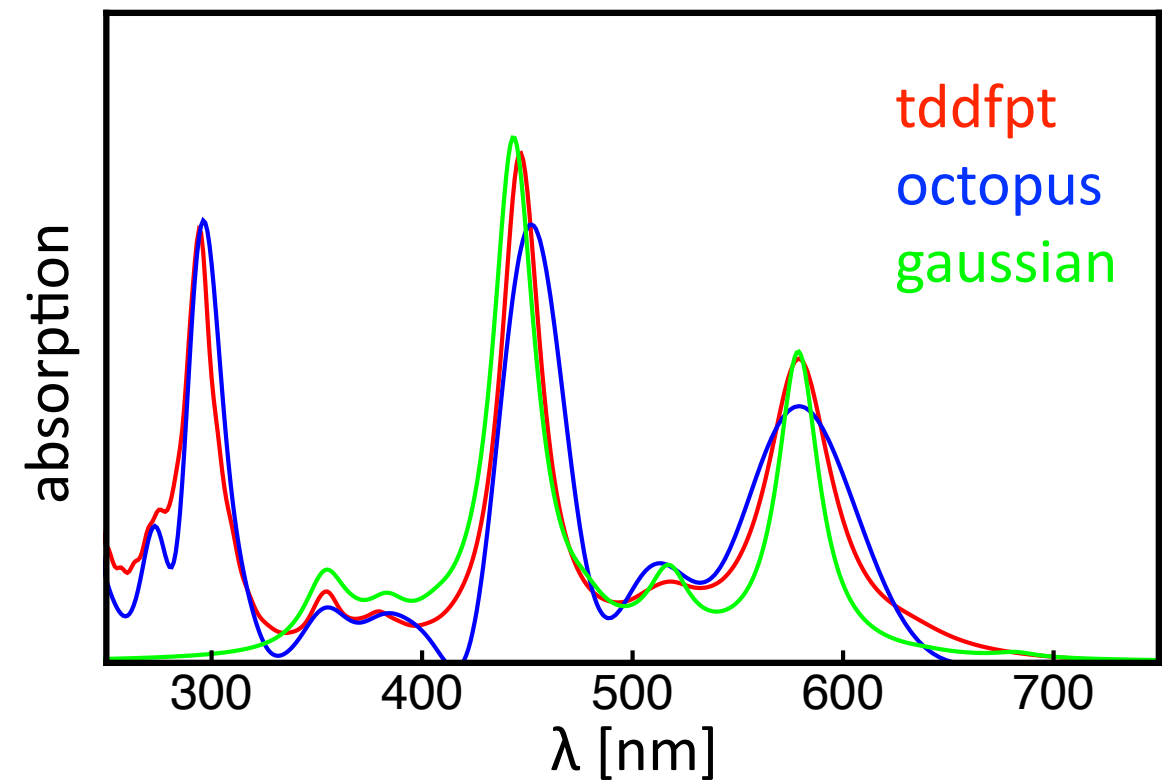
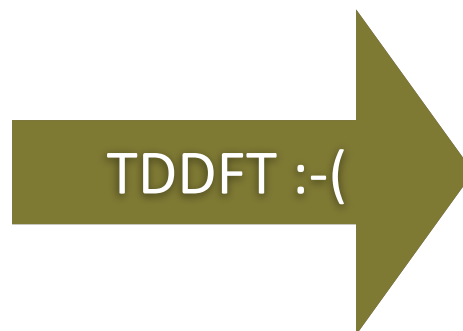
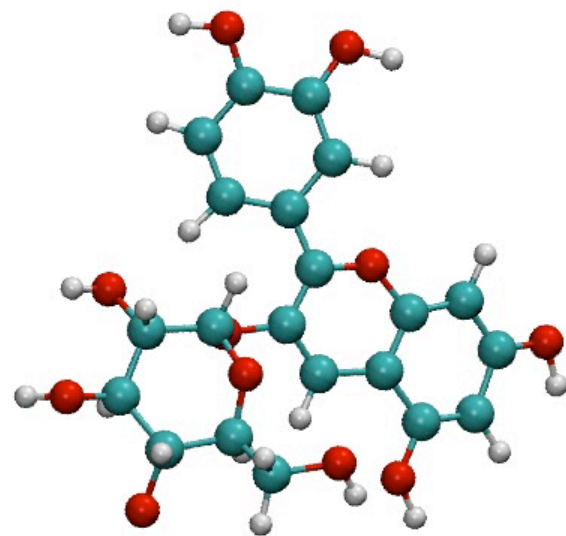
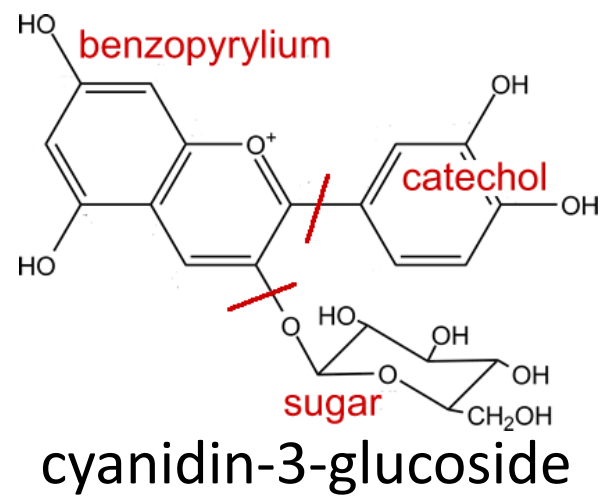


# color and function of anthocyanins

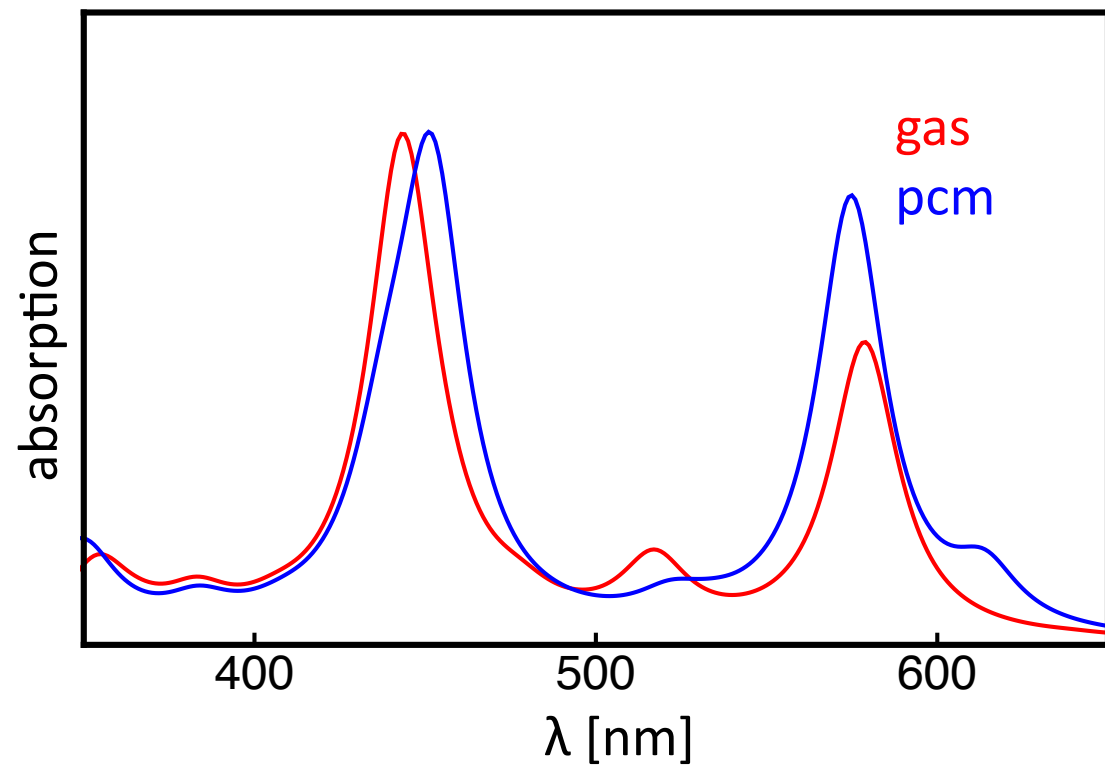


TDDFT ?

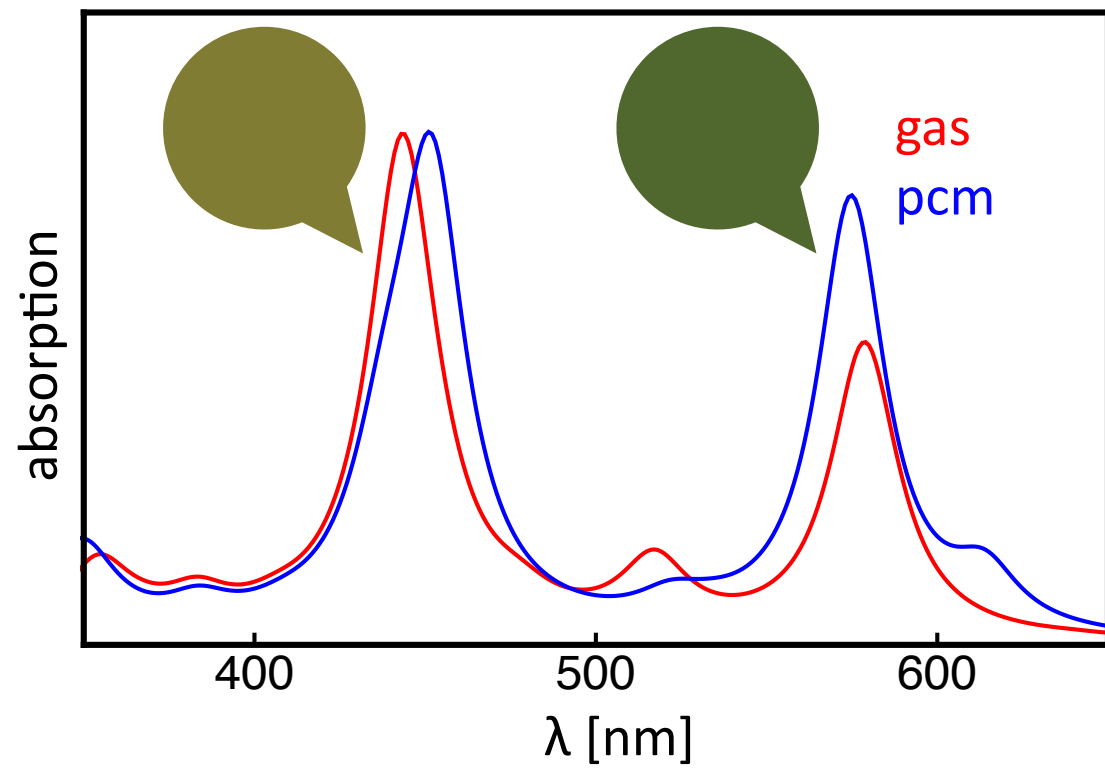
# color and function of anthocyanins



# optical effect of the solvent

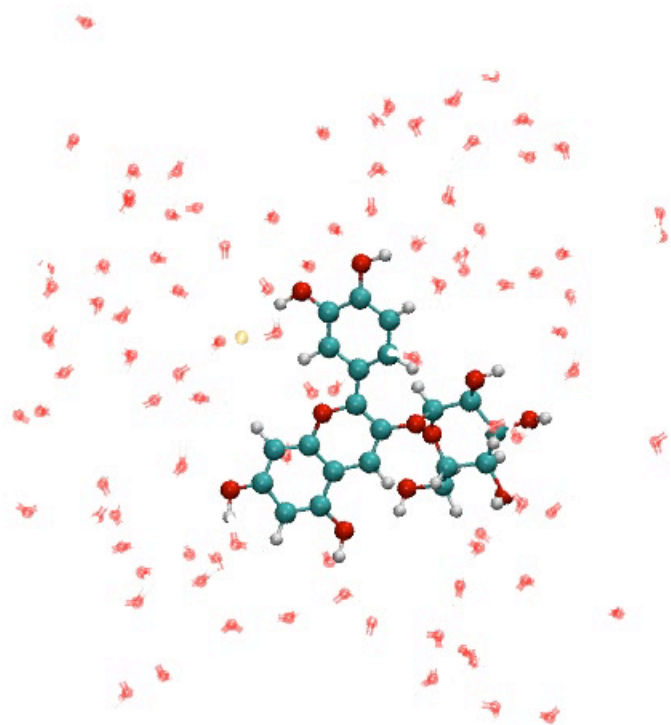
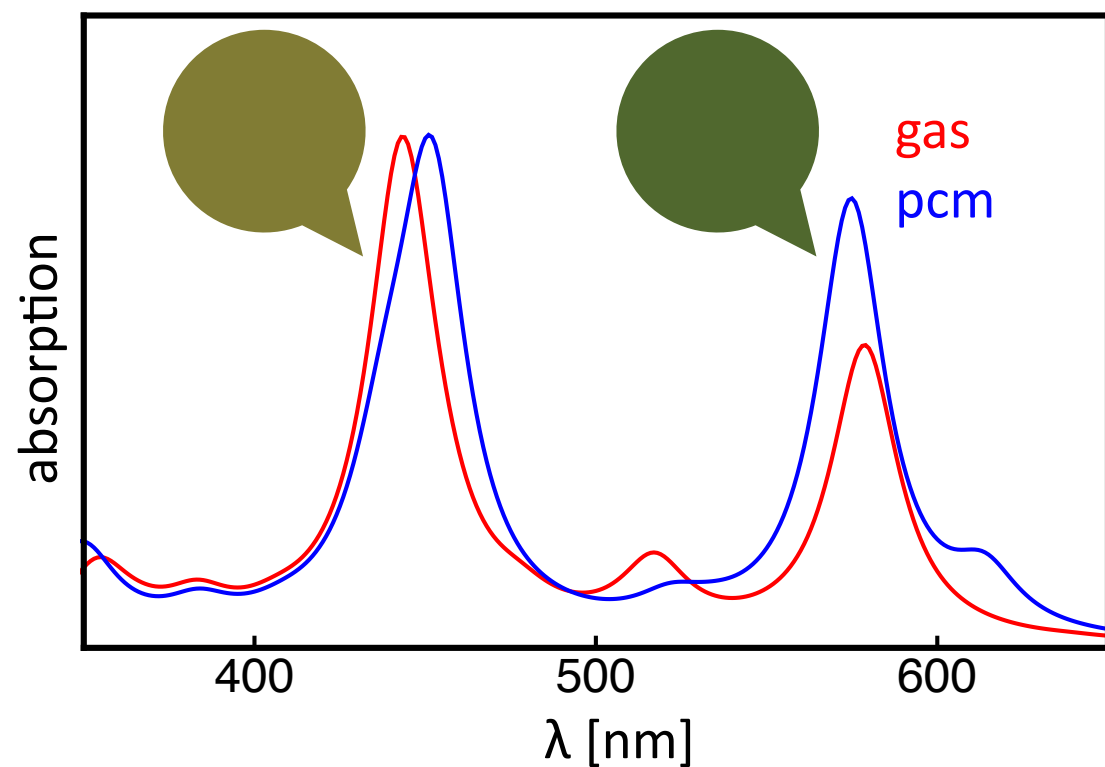


# optical effect of the solvent





# optical effect of the solvent



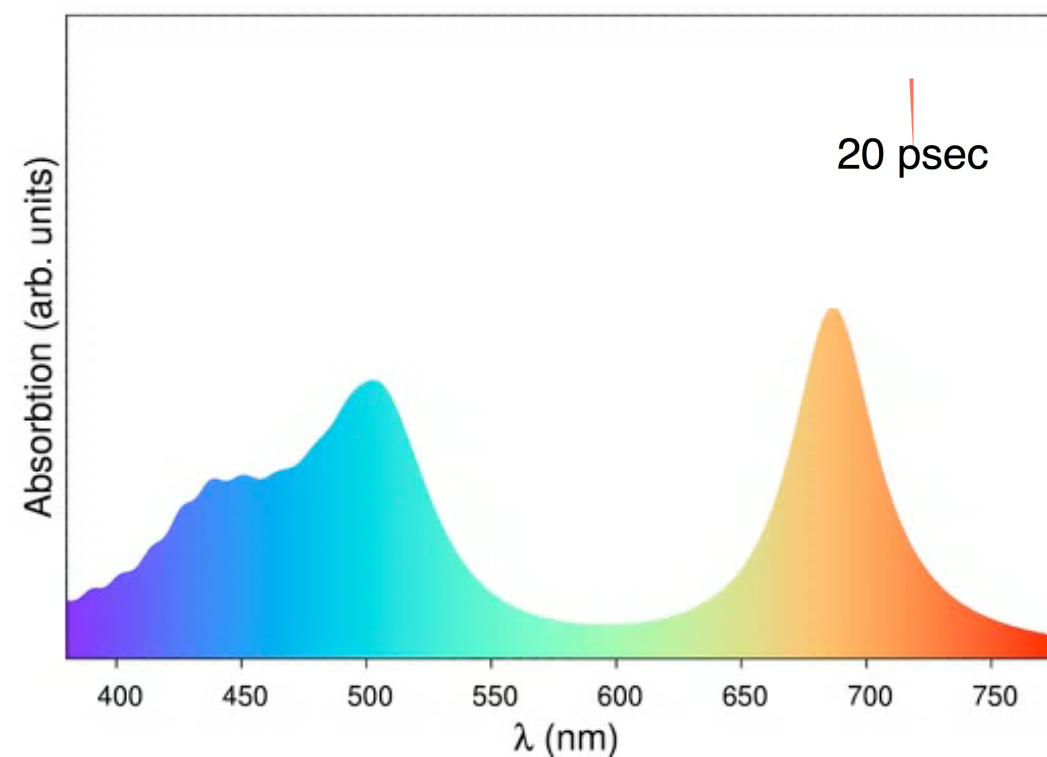
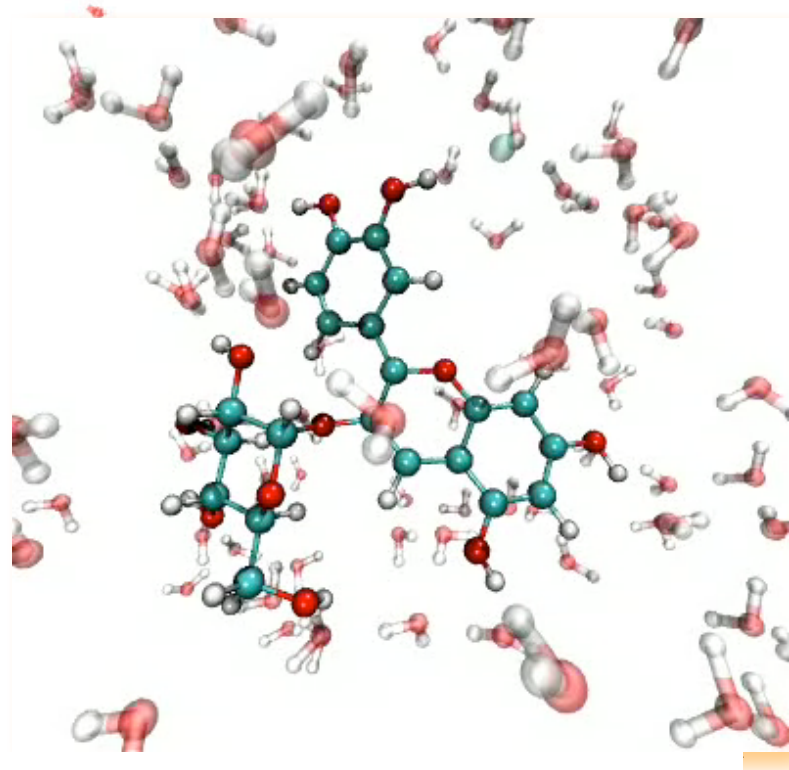
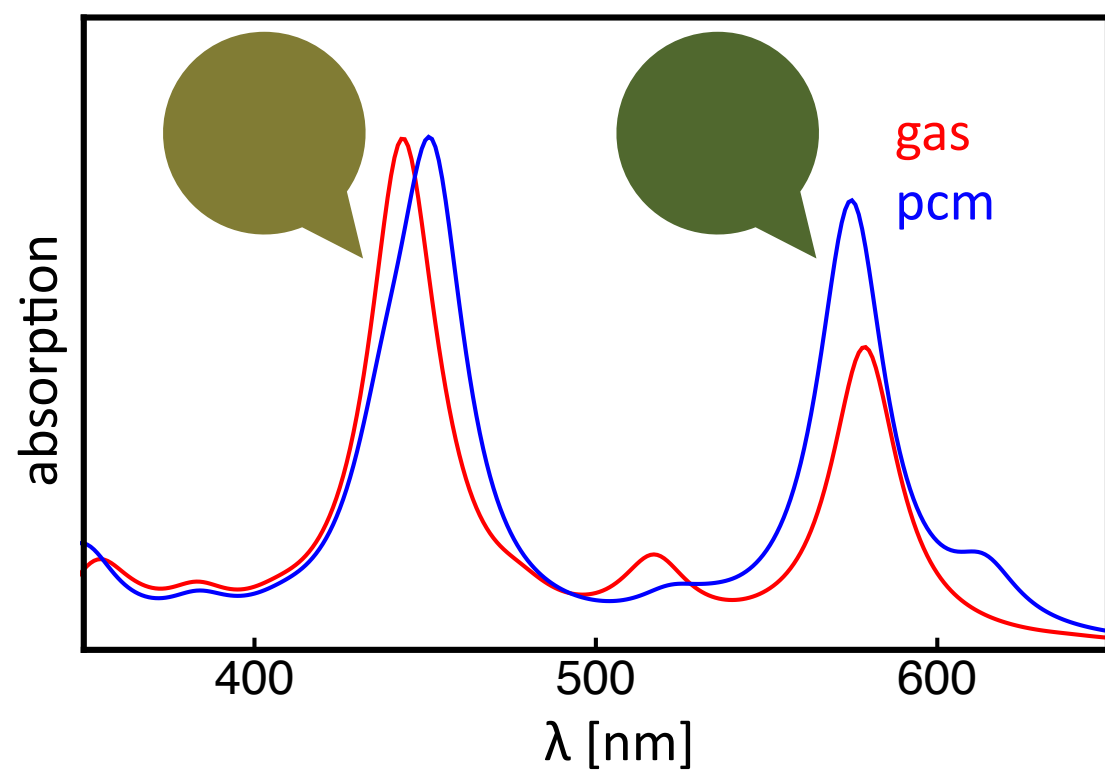
$\text{C}_{21}\text{H}_{21}\text{O}_{11}\text{Cl} @ (\text{H}_2\text{O})_{95}$

339 atoms

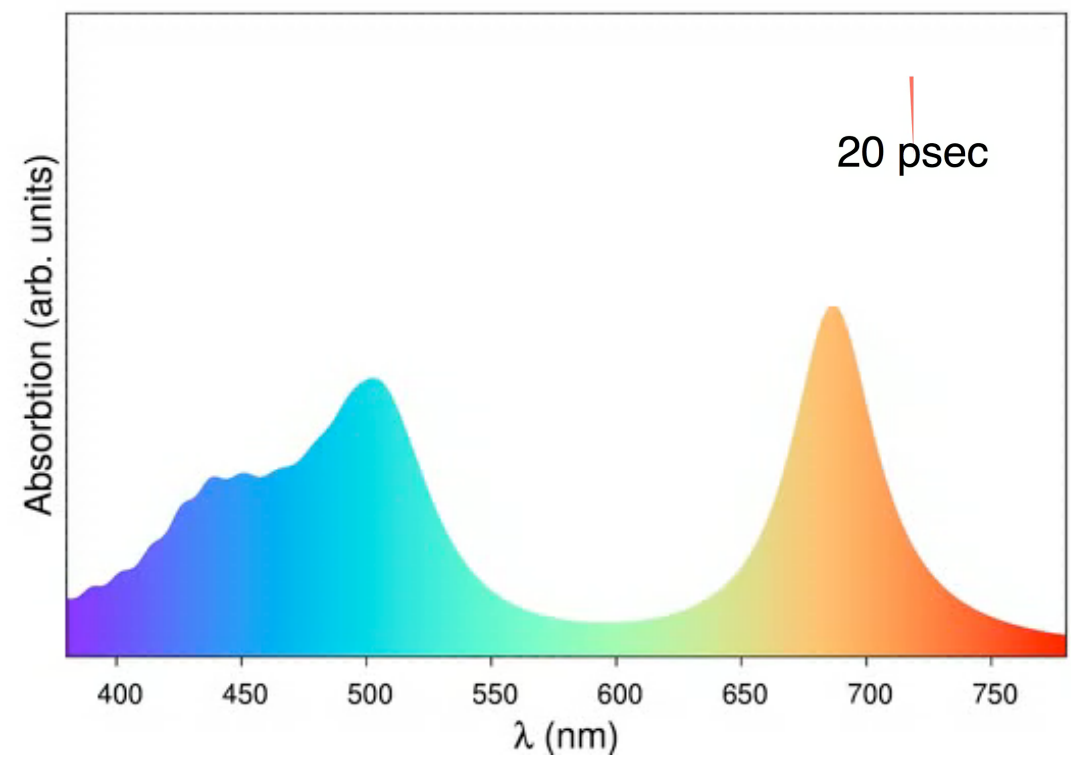
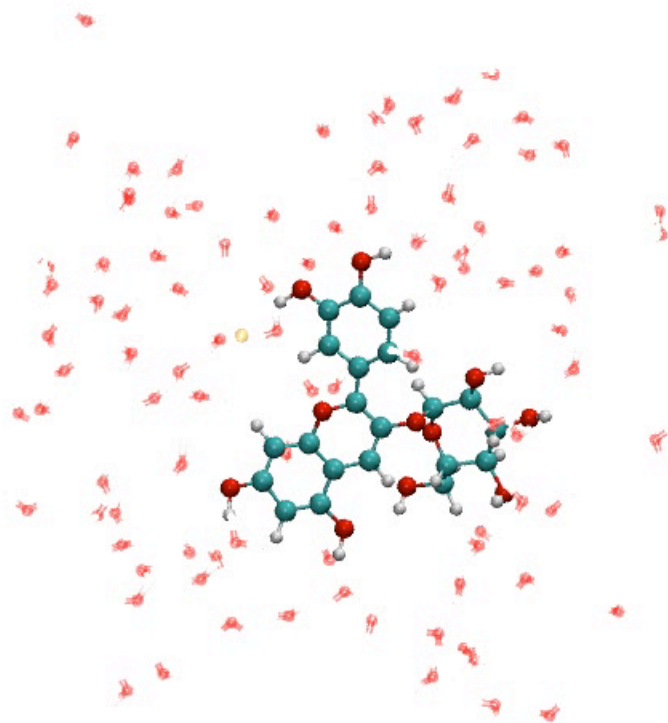
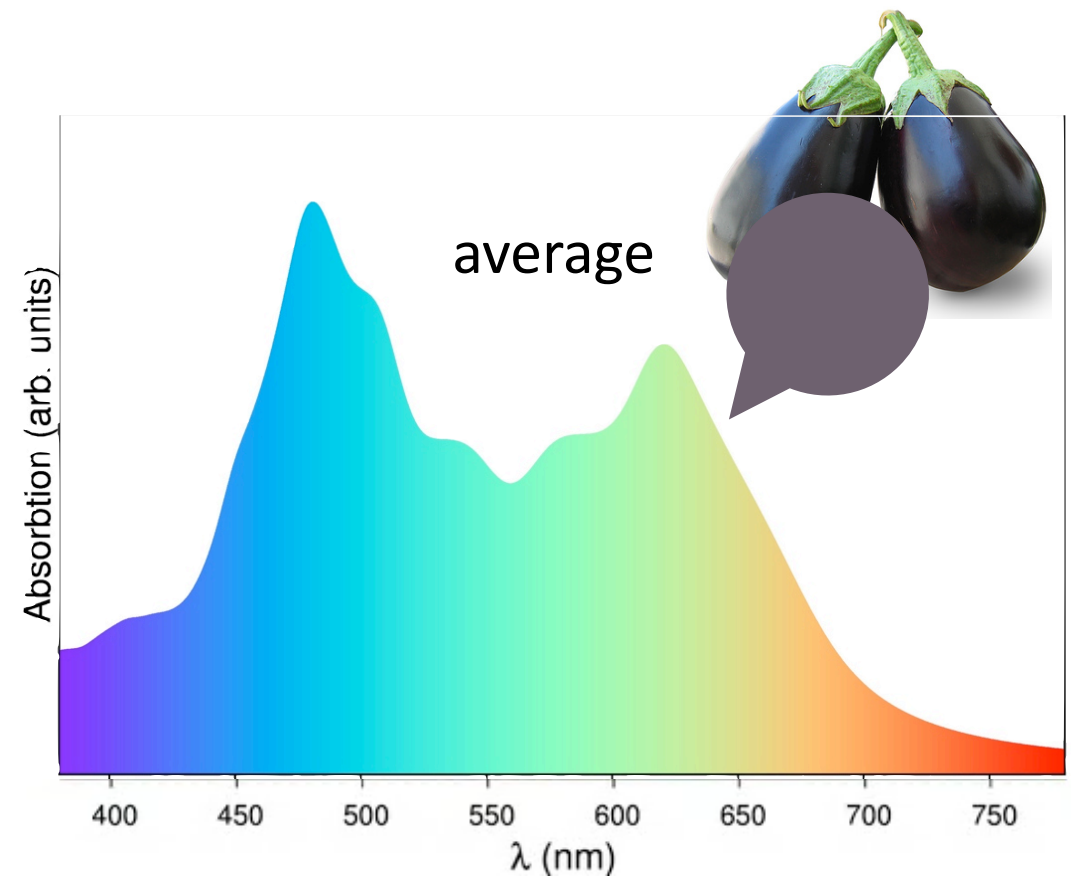
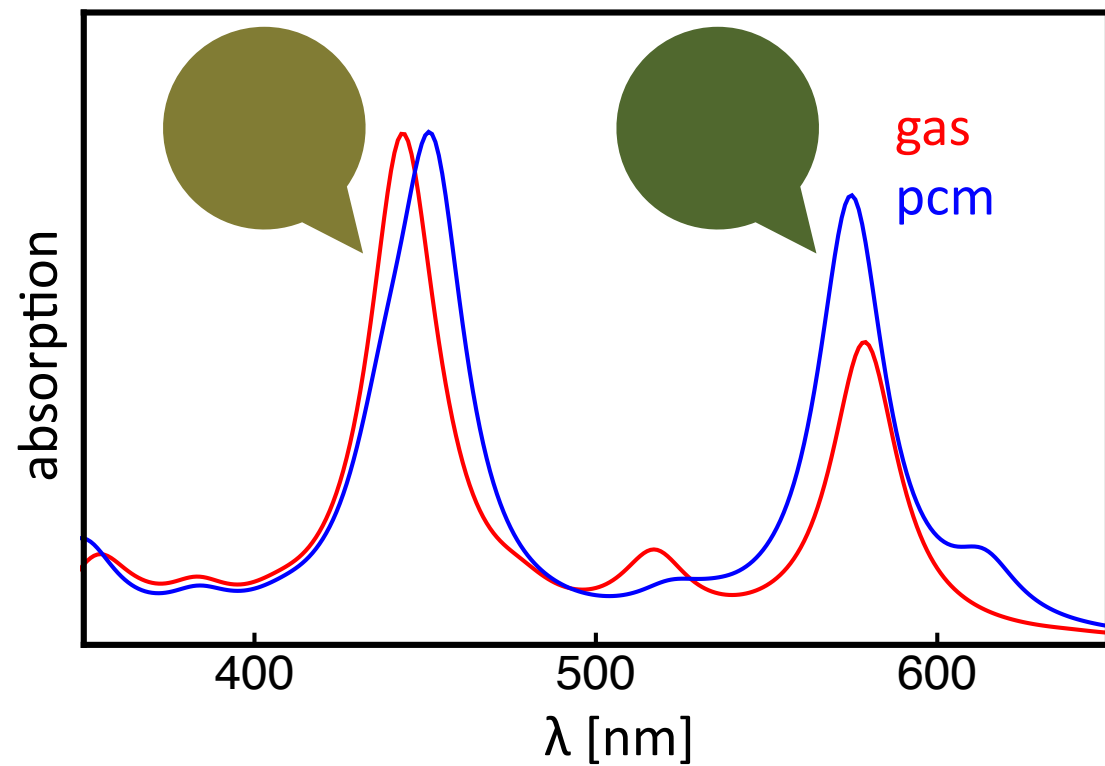
938 electrons



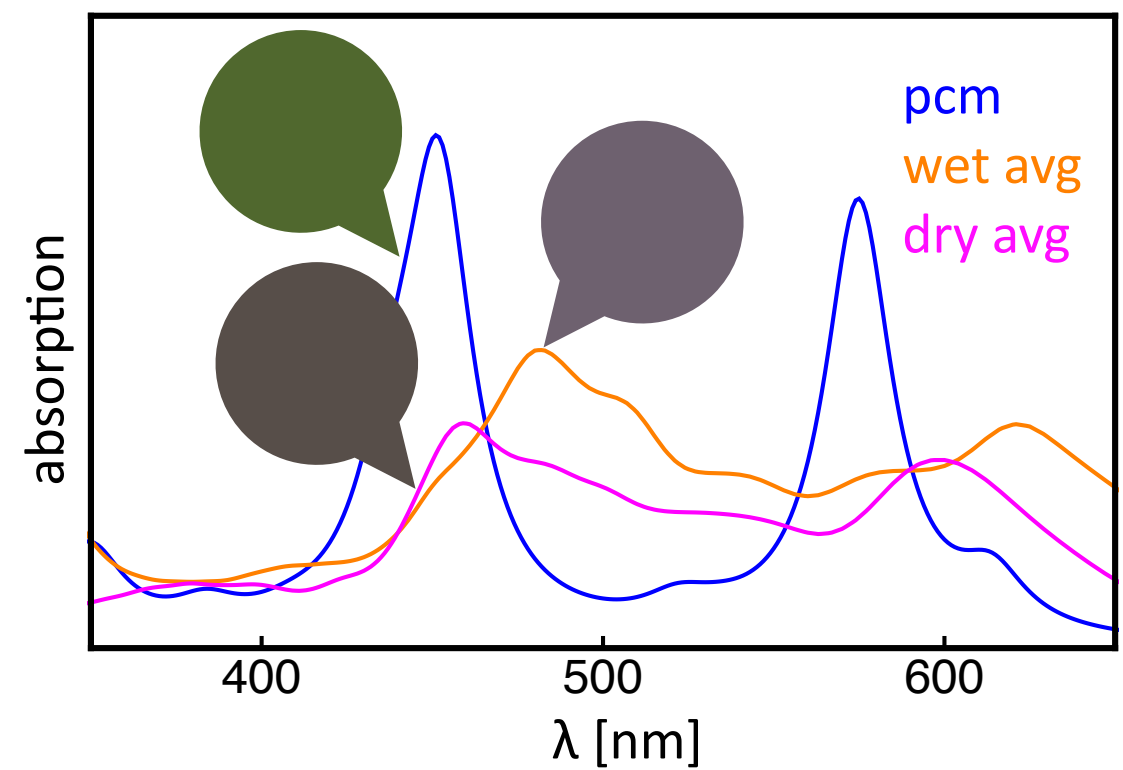
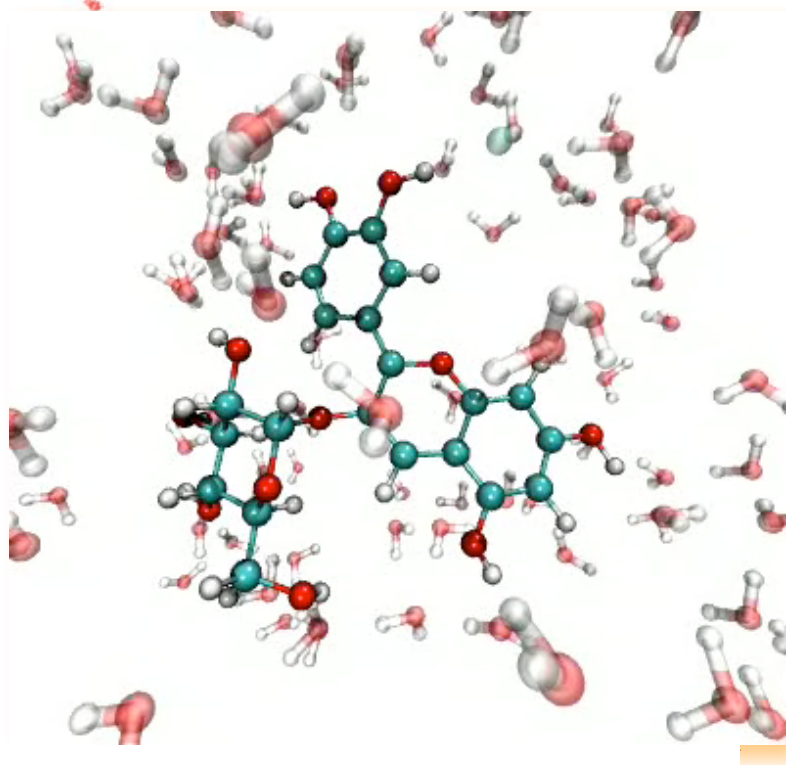
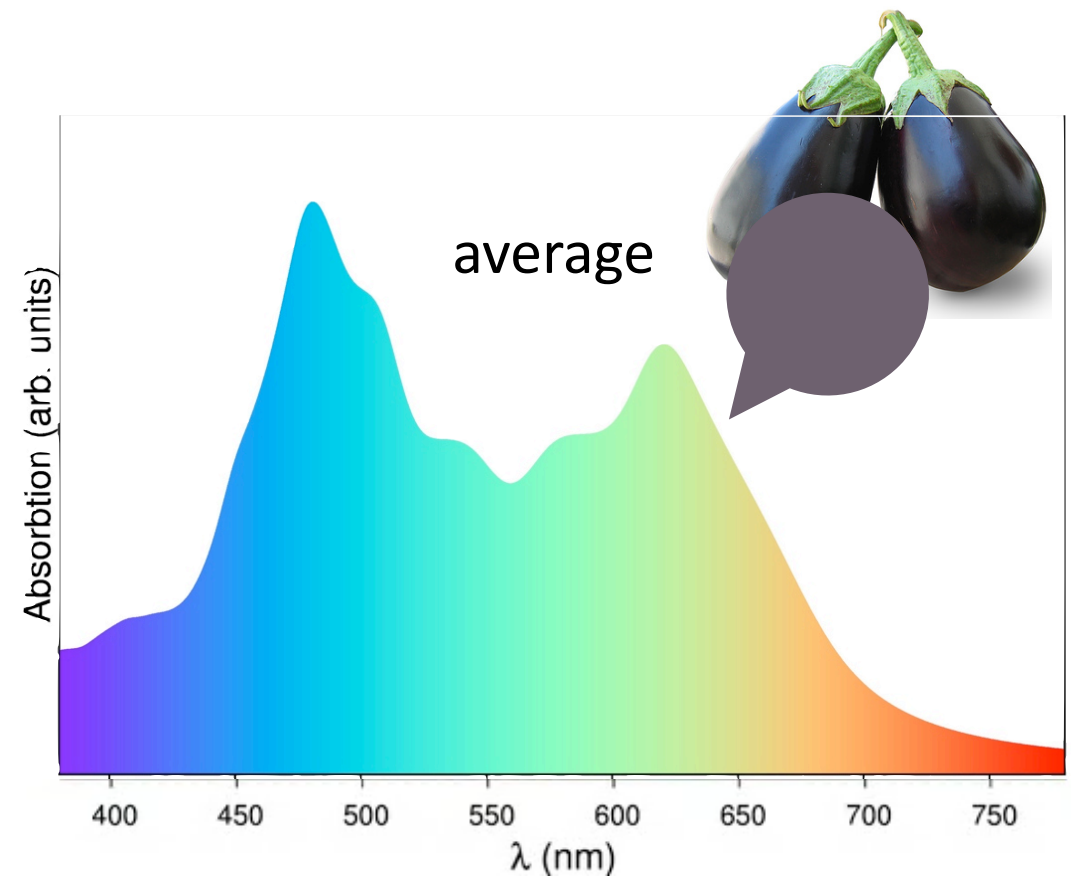
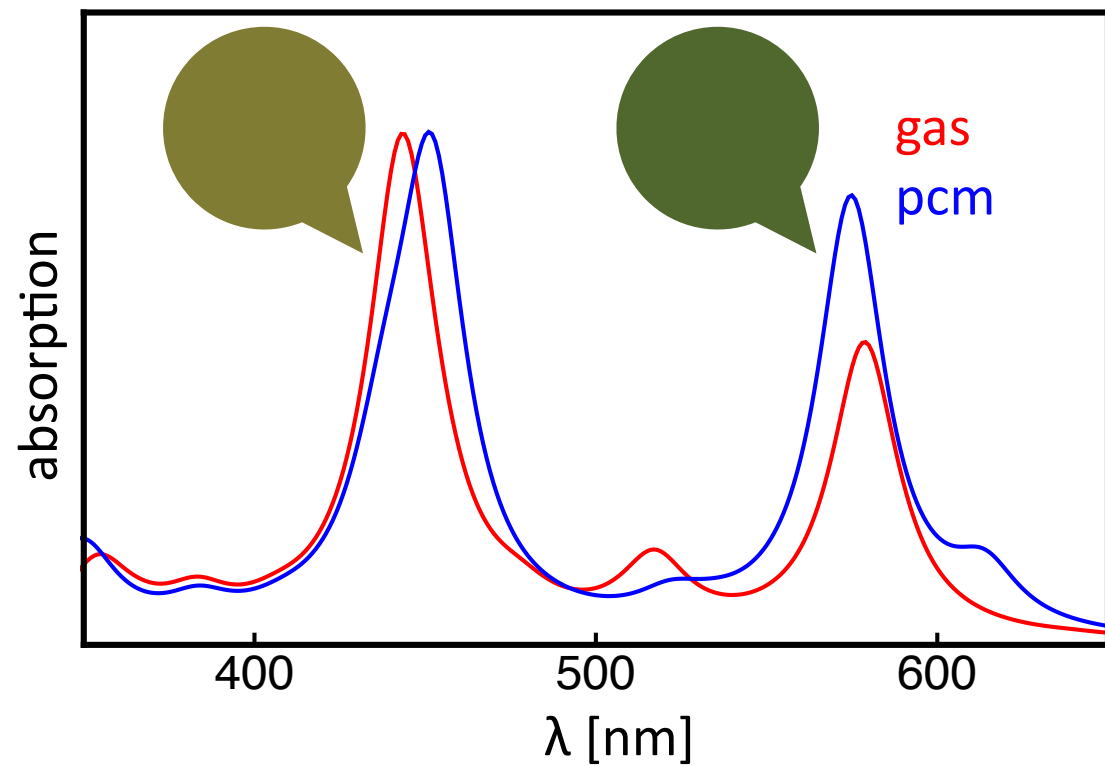
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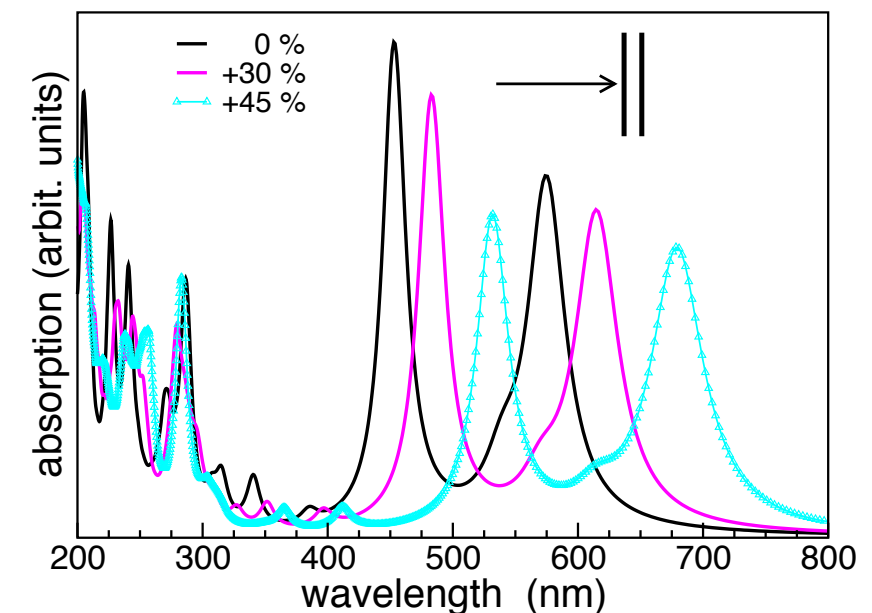
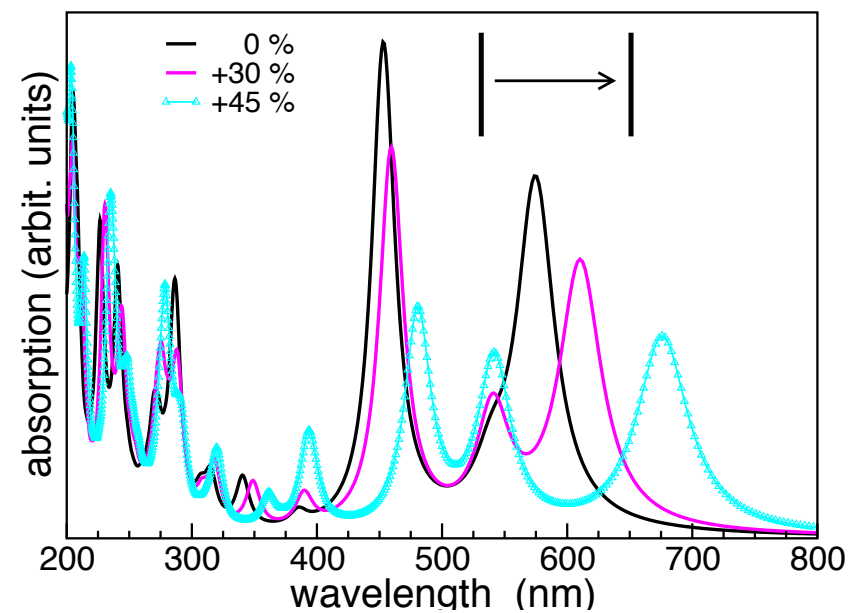
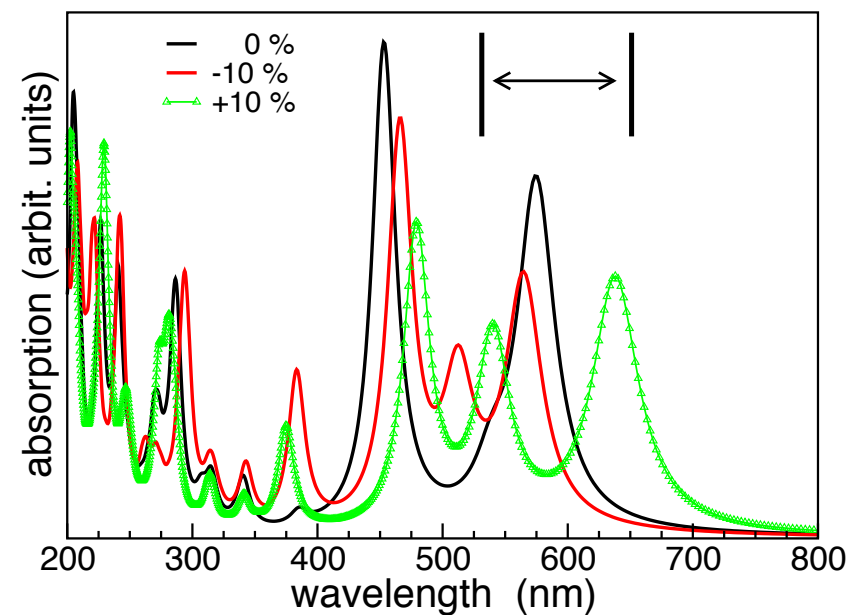
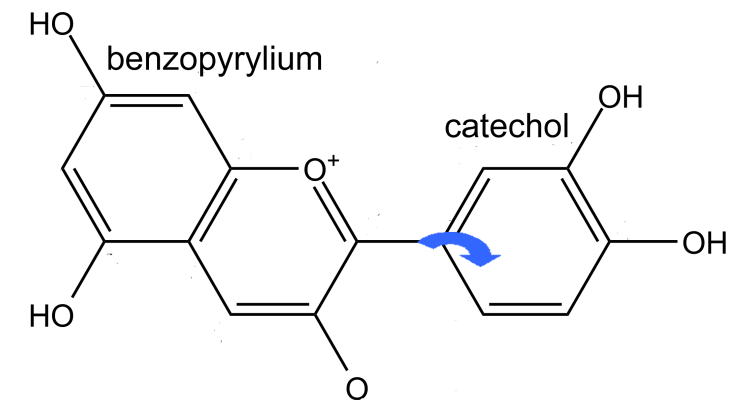
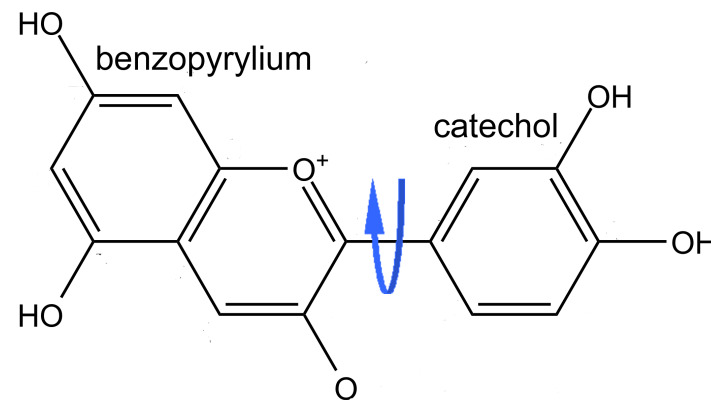
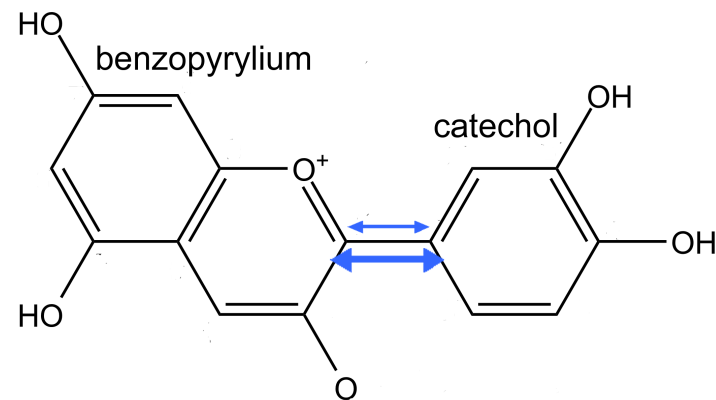
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# optical effects of intramolecular motion



O.B. Malcioğlu, A. Calzolari, R. Gebauer, D. Varsano, and S.B., JACS **133**, 15425 (2011)

O.B. Malcioğlu, R. Gebauer, D. Rocca, and S.B., CPC **182**, 1744 (2011)

everything's fine?

# everything's fine? nay ...

no Coulombic tail in the eh interaction

- no Rydberg states in molecules
- no excitons in extended systems
- wrong charge-transfer excitations

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  - hybrid functionals
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THE JOURNAL OF CHEMICAL PHYSICS **133**, 164109 (2010)

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Dario Rocca,<sup>1,a)</sup> Deyu Lu,<sup>1,b)</sup> and Giulia Galli<sup>1,2</sup>

<sup>1</sup>*Department of Chemistry, University of California, Davis, Davis, California 95616, USA*

<sup>2</sup>*Department of Physics, University of California, Davis, Davis, California 95616, USA*

(Received 28 May 2010; accepted 8 September 2010; published online 27 October 2010)



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17.05.2012

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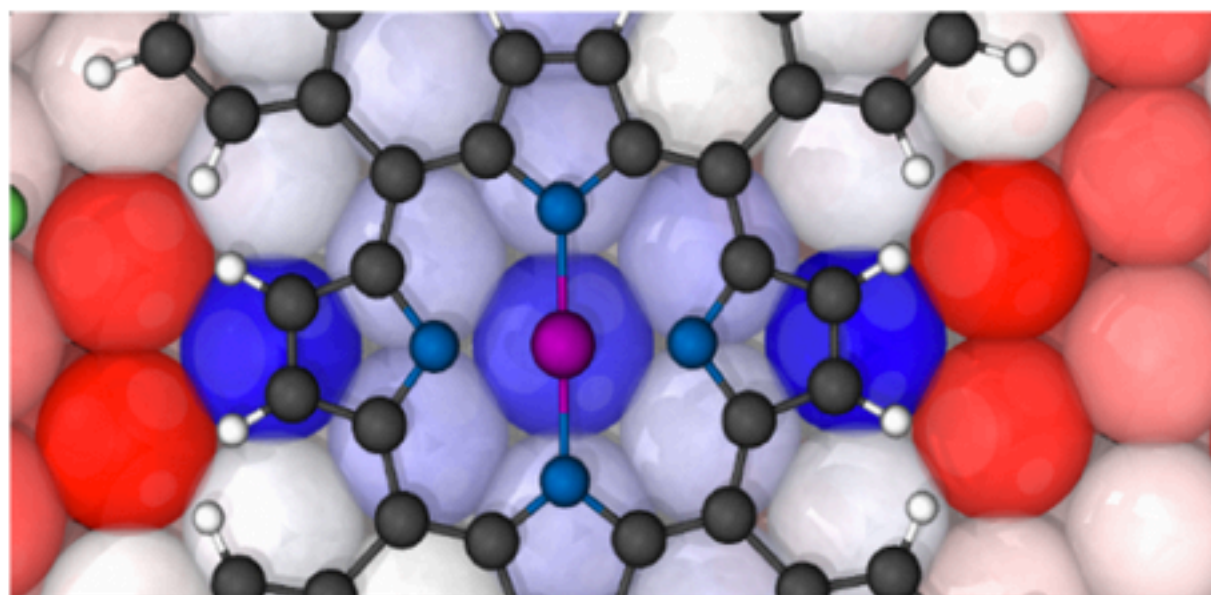
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Eldoret, Kenya, May 28 – June 8

Quantum ESPRESSO Workshop, Pennsylvania State University, Jun 25 – Jun 29

Joint ICTP-TWAS Caribbean School on Electronic Structure Fundamentals and Methodologies (an Ab-initio Perspective)  
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Spatially Extended Kondo State in Magnetic Molecules Induced by Interfacial Charge Transfer. Phys. Rev. Lett. 105 106601 (2010). Courtesy of H. Kulik.

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# QUANTUM ESPRESSO: a modular and open-source software project for quantum simulations of materials

**Paolo Giannozzi<sup>1,2</sup>, Stefano Baroni<sup>1,3</sup>, Nicola Bonini<sup>4</sup>,  
Matteo Calandra<sup>5</sup>, Roberto Car<sup>6</sup>, Carlo Cavazzoni<sup>7,8</sup>,  
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Ismaila Dabo<sup>11</sup>, Andrea Dal Corso<sup>1,3</sup>, Stefano de Gironcoli<sup>1,3</sup>,  
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Sandro Scandolo<sup>1,13</sup>, Gabriele Sclauzero<sup>1,3</sup>, Ari P Seitsonen<sup>5</sup>,  
Alexander Smogunov<sup>13</sup>, Paolo Umari<sup>1</sup> and  
Renata M Wentzcovitch<sup>10,19</sup>**

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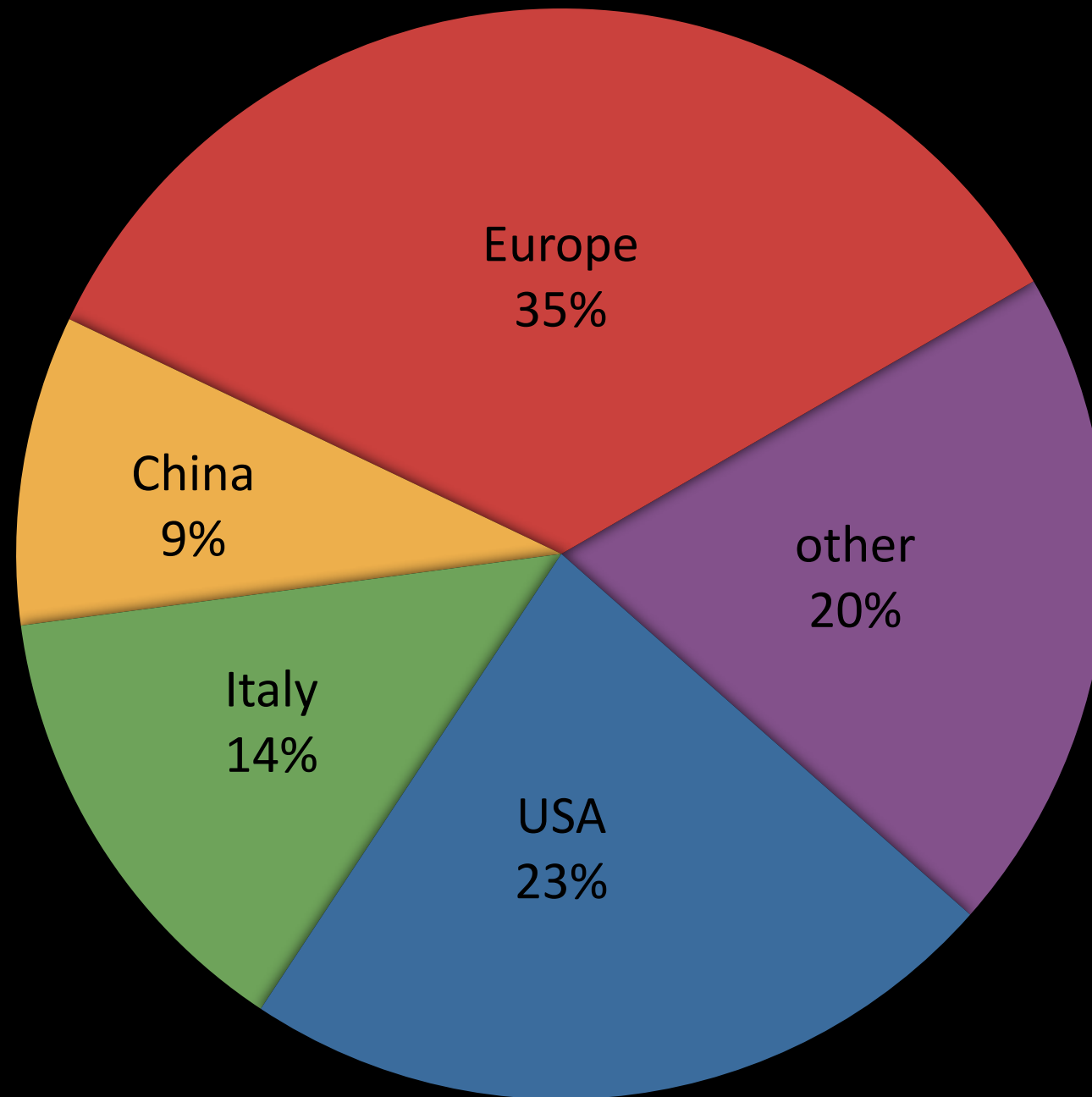
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Paolo Giannozzi<sup>1,2</sup>, Stefano Baroni<sup>1,3</sup>, Nicola Bonini<sup>4</sup>,  
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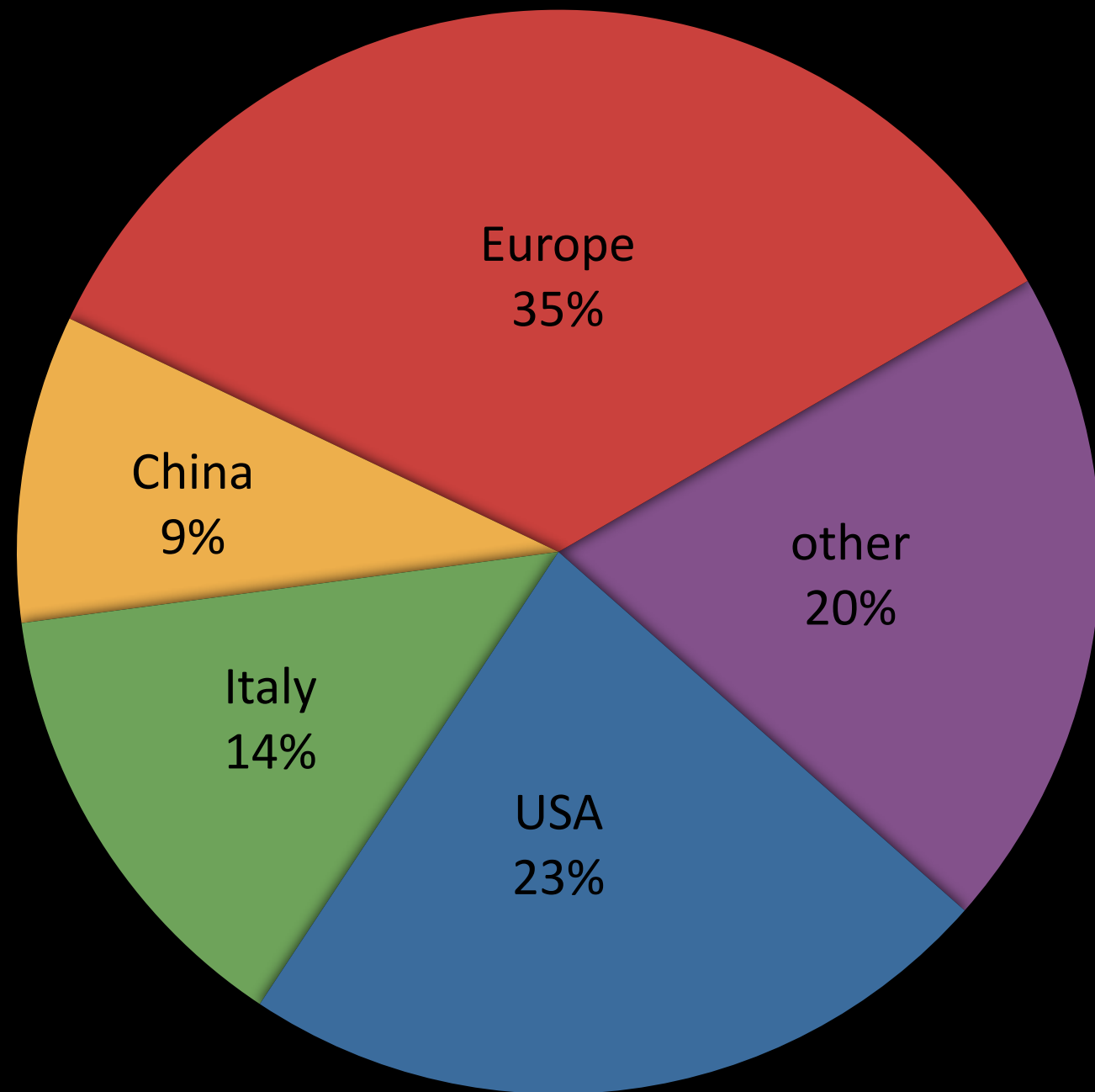


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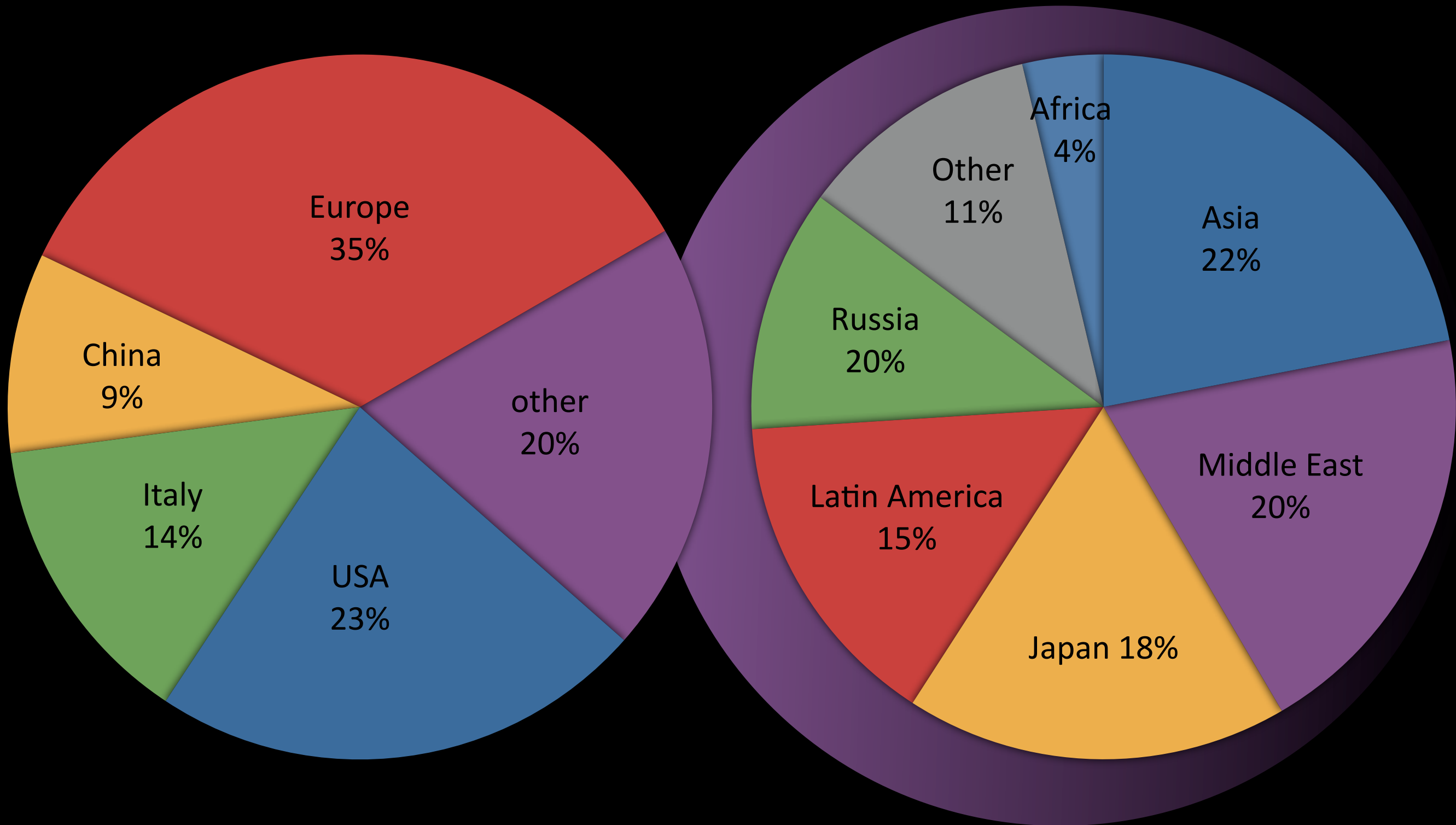




# Quantum ESPRESSO: a global community



# Quantum ESPRESSO: a global community





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